



MEMORANDUM

TO: Randy Alexis, Principal Planner
Brian Long, Supervising Engineer
Austin Water Utility

FROM: Jennifer Castellon, Utility Financial Analyst
Austin Water Utility

DATE: May 1, 2012

SUBJECT: Semi-Annual Impact Fee Report as of March 31, 2012

Attached for your information is the Semi-Annual Impact Fee Report of Collections as of March 31, 2012. The report is based on financial information reported in the City's accounting system. Fees collected during this period are improving from their lowest level over the past two years.

In summary, combined utility capital recovery fees collected plus interest during the six month period totaled \$2,774,798. Of this total, \$1,754,512 was for water and \$1,020,287 was for wastewater. Total capital recovery fees collected plus interest since the adoption of the current ordinance on June 20, 1990, through March 31, 2012, totaled \$101,159,170 for water and \$61,849,483 for wastewater, for a combined utility total of \$163,008,654.

As of March 31, 2012, the cash balances in the water and wastewater CRF funds were \$2,474,959 and \$1,542,408, respectively, for a combined total of \$4,017,367. The balances do not reflect any transfers from the CRF funds to the operating funds and from there to the Debt Service Fund for the year.

We revised some of the classifications of waived service units due to updated information.

Please let me know if you have any questions or need additional information.

Jennifer Castellon
Utility Financial Analyst
Austin Water Utility
Attachment

Cc: Greg Meszaros, Director
David Anders, Assistant Director, Finance and Business Services
Alice Flora, Customer Service Division Manager
Teresa Lutes, P.E., Systems Planning Division Manager
Mike Castillo, Financial Management Division Manager

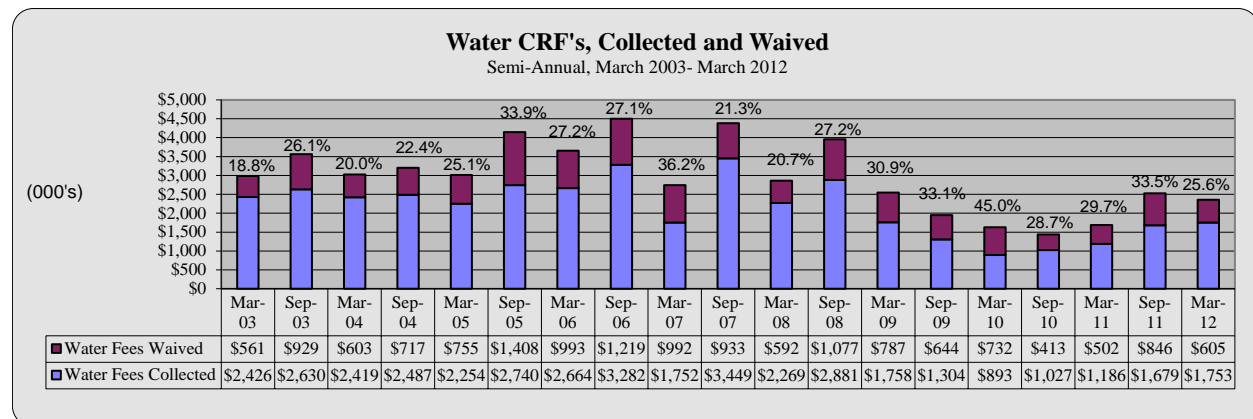


Austin Water Utility Capital Recovery Fee Financial Summary

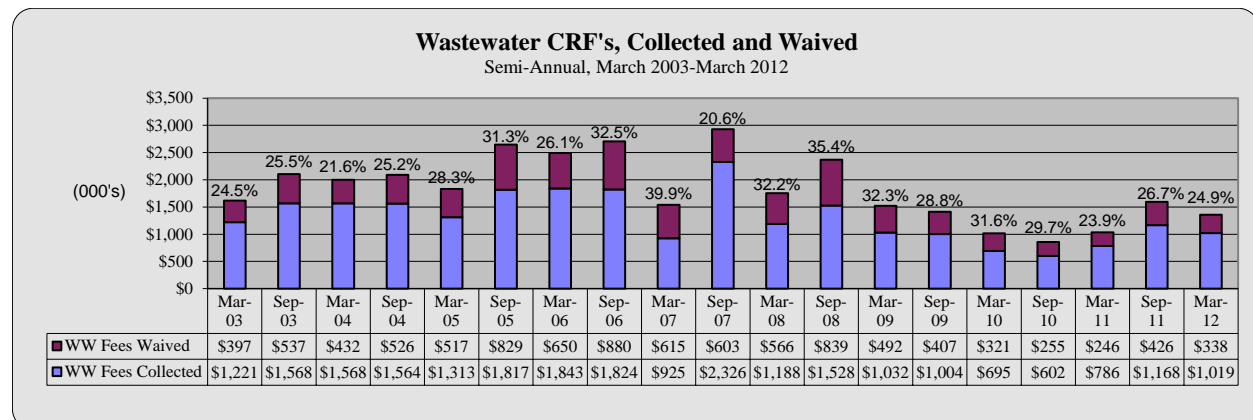
Semi-Annual Impact Fee Report

Period Ending March 31, 2012

Texas Local Government Code, Chapter 395, Section 395.058, requires that the Impact Fee Advisory Committee “file semiannual reports with respect to the progress of the capital improvements plan and report to the political subdivision any perceived inequities in implementing the plan or imposing the impact fee.” This report supports the committee by providing financial information about the collection of capital recovery fees and the value of fee waivers.



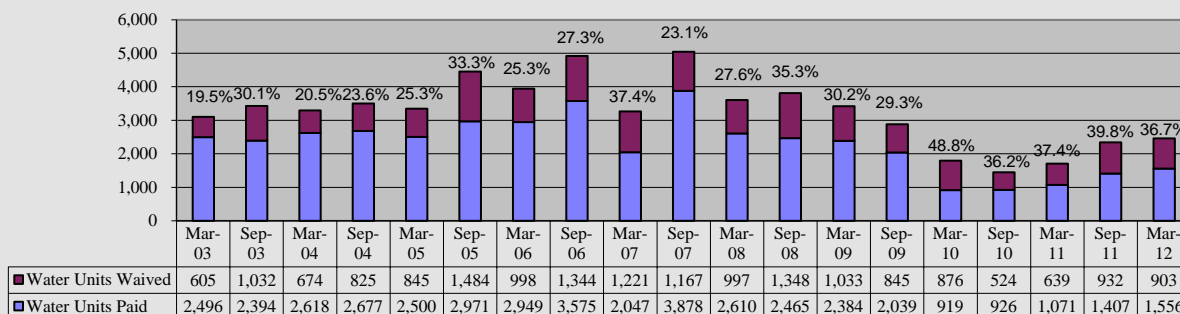
The chart above shows the breakdown of water capital recovery fee collections and water capital recovery fees waived or exempted in thousands of dollars for the last nineteen reporting periods. In the period ended March 31, 2012, the utility collected \$1.75 million and exempted or waived \$0.61 million according to AIMS. The waived capital recovery fees comprise 25.6% of the total capital recovery fees before waivers or exemptions.



Similarly, the chart above shows the breakdown of wastewater capital recovery fee collections and wastewater capital recovery fees waived or exempted in thousands of dollars for the last nineteen reporting periods. In the period ended March 31, 2012, the utility collected \$1.02 million and exempted or waived \$0.34 million according to AIMS. The waived fees comprise 24.9% of the total fees before waivers or exemptions.

Water CRF Units, Paid and Waived

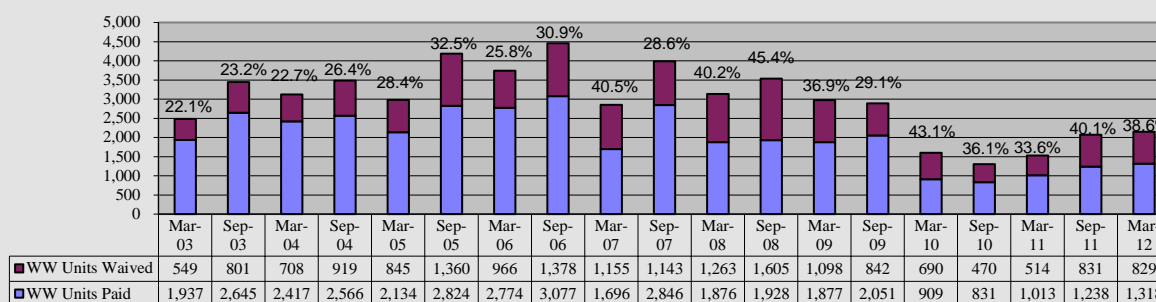
Semi-Annual, March 2003-March 2012



During this period, water capital recovery fees were paid for 1,556 fee units, while 902.5 units qualified for waivers or exemptions. The chart above shows the breakdown of water fee units paid and fee units waived or exempted for nineteen reporting periods. A different method to calculate units was created in 2009 that gathers information from Amanda. In the most recent period, 36.7% of new units qualified for exemptions or waivers of the water capital recovery fee.

WW CRF Units, Paid and Waived

Semi-Annual, March 2003-March 2012



Wastewater capital recovery fees were paid for 1,319 units, while 828.5 units qualified for waivers or exemptions. The chart above shows the breakdown of wastewater fee units paid and fee units waived or exempted for nineteen reporting periods. A different method to calculate units was created in 2009 that gathers information from Amanda. In the most recent period, 38.6% of new units qualified for exemptions or waivers of the wastewater capital recovery fee.

The attachments included with this report provide detail on the following:

1. Current semi-annual period and inception to date Capital Recovery Fee Collections.
2. Six month Capital Recovery Fee Collections by Zone.
3. Fiscal year Capital Recovery Fee Collections by Quarter and Zone.
4. Capital Recovery Fee Report by Fund, Inception through March 31, 2012.
5. Capital Recovery Fee Report by Class, Inception through March 31, 2012.
6. Semi-Annual Capital Recovery Fee Units for Wholesale Customers for Period Ended March 31, 2012.
7. Summary of Capital Recovery Fee Exemptions and Waivers for the Period Ended March 31, 2012.

City of Austin, Texas
Austin Water Utility
Impact Fee (Capital Recovery Fee) Collections
As of March 31, 2012

DESCRIPTION	WATER	WASTEWATER	TOTAL
CURRENT SEMI-ANNUAL PERIOD (October 1, 2011- March 31, 2012)			
Total Dollar Value of Taps & Connections	\$ 2,357,542	\$ 1,357,361	\$ 3,714,904
CRF Exemptions, Waivers and Refunds	(604,675)	(338,125)	\$ (942,800)
Net CRF Collected	<u>\$ 1,752,867</u>	<u>\$ 1,019,236</u>	<u>\$ 2,772,104</u>
Interest Earnings	1,644	1,050	2,695
Total CRF Collected	<u>\$ 1,754,512</u>	<u>\$ 1,020,287</u>	<u>\$ 2,774,798</u>

TOTAL IMPACT FEES (CAPITAL RECOVERY FEES) COLLECTED BY THE CITY OF AUSTIN SINCE THE ADOPTION OF THE CURRENT IMPACT FEE (CRF) ORDINANCE FROM JUNE 20, 1990, THROUGH MARCH 31, 2012, ARE

INCEPTION TO DATE (June 20, 1990 - March 31, 2012)

Net CRF Collected	\$ 96,891,443	\$ 59,129,332	\$ 156,020,775
Interest Earnings	4,267,727	2,720,152	6,987,878
Total CRF Collected	<u>\$ 101,159,170</u>	<u>\$ 61,849,483</u>	<u>\$ 163,008,654</u>
Used for Debt Defeasance	(35,418,846)	(19,899,018)	(55,317,864)
Used for CIP *	(35,506,672)	(18,995,655)	(54,502,327)
Used for Debt Service**	(28,501,328)	(22,084,345)	(50,585,673)
Other Adjustments***	742,635	671,942	1,414,577
CRF CASH BALANCES	<u>2,474,959</u>	<u>1,542,408</u>	<u>\$ 4,017,367</u>

* The Utility had restricted the use of capital recovery fees collected for defeasance of outstanding revenue bonds until FY 2001-02 when the Utility's policy was changed to allow CRFs to be used to fund certain major plant capacity improvements.

** In FY2006 Management decided to use CRF collections to pay debt service.

*** Includes Transfers for Fairway Ridge, Circle C, refunds and other miscellaneous adjustments.

APPLICATION OF CRF FUNDS FOR DEBT DEFEASANCE

The following schedule reflects the application of crfs collected since inception toward the defeasance of revenue bonds.

FY 1991-92	\$ (1,543,846)	\$ (451,428)	\$ (1,995,274)
FY 1992-93	(5,000,000)	(3,000,000)	(8,000,000)
FY 1993-94	(3,800,000)	(2,200,000)	(6,000,000)
FY 1994-95	(3,500,000)	(2,000,000)	(5,500,000)
FY 1995-96	(4,500,000)	(2,500,000)	(7,000,000)
FY 1996-97	0	0	0
FY 1997-98	(4,075,000)	(5,747,590)	(9,822,590)
FY 1998-99	(13,000,000)	(4,000,000)	(17,000,000)
FY 1999-2000	0	0	0
FY 2000-01	0	0	0
TOTAL	<u>\$ (35,418,846)</u>	<u>\$ (19,899,018)</u>	<u>\$ (55,317,864)</u>

APPLICATION OF CRF FUNDS FOR CAPITAL PROJECTS

FY 2001-2002	(18,000,000)	(11,300,000)	(29,300,000)
FY 2002-2003	(5,004,000)	(4,870,000)	(9,874,000)
FY 2003-2004	(5,944,000)	(3,750,000)	(9,694,000)
FY 2004-2005	(6,080,000)	(2,680,000)	(8,760,000)
FY 2005-2006	(5,400,000)	(2,860,000)	(8,260,000)
FY 2009-2010	4,921,328	6,464,345	11,385,673
FY 2010-2011	0	0	0
TOTAL	<u>(35,506,672)</u>	<u>(18,995,655)</u>	<u>(54,502,327)</u>

APPLICATION OF CRF FUNDS FOR DEBT SERVICE

FY 2006-2007 (Debt Service)	(5,470,000)	(2,910,000)	(8,380,000)
FY 2007-2008 (Debt Service)	(6,110,000)	(4,860,000)	(10,970,000)
FY 2008-2009 (Debt Service)	(5,000,000)	(3,550,000)	(8,550,000)
FY 2009-2010 (Debt Service)	(8,921,328)	(8,964,345)	(17,885,673)
FY 2010-2011 (Debt Service)	(3,000,000)	(1,800,000)	(4,800,000)
TOTAL	<u>(428,501,328)</u>	<u>(22,084,345)</u>	<u>(50,585,673)</u>

City of Austin, Texas
Austin Water Utility
Six-month Capital Recovery Fee Collections
As of March 31, 2012

DESCRIPTION	1st Qtr (FY) Oct 11-Dec 11	2nd Qtr (FY) Jan 12-Mar 12	6 month Total	6 month Fee Units
-------------	-------------------------------	-------------------------------	------------------	----------------------

WATER IMPACT FEE

Wholesale Customers	\$ 116,404	\$ (1,861)	\$ 114,543	30
DWPZ ETJ	4,250	24,150	28,400	14
DWPZ Inside	141,400	237,100	378,500	226
DDZ ETJ	187,350	20,400	207,750	154
DDZ Inside	103,800	520,100	623,900	696
Urban	131,850	206,175	338,025	365
CURE	6,500	55,250	61,750	72
NET COLLECTIONS	\$ 691,554	\$ 1,061,313	\$ 1,752,867	
Interest Earnings	752	893	1,644	

TOTAL WATER	\$ 692,306	\$ 1,062,206	\$ 1,754,512	1,556 *
--------------------	-------------------	---------------------	---------------------	----------------

WASTEWATER IMPACT FEE

Wholesale Customers	\$ 91,000	\$ 87,261	\$ 178,261	117
DWPZ ETJ	0	2,550	2,550	1
DWPZ Inside	93,600	165,000	258,600	205
DDZ ETJ	49,400	7,800	57,200	69
DDZ Inside	45,200	253,600	298,800	582
Urban	72,044	118,031	190,075	293
CURE	3,300	30,450	33,750	53
NET COLLECTIONS	\$ 354,544	\$ 664,693	\$ 1,019,236	
Interest Earnings	499	552	1,050	

TOTAL WASTEWATER	\$ 355,042	\$ 665,244	\$ 1,020,287	1,319 *
-------------------------	-------------------	-------------------	---------------------	----------------

TOTAL COMBINED COLLECTIONS	\$ 1,047,348	\$ 1,727,450	\$ 2,774,798
-----------------------------------	---------------------	---------------------	---------------------

* Number of units was obtained from Amanda.

**City of Austin, Texas
Austin Water Utility
Capital Recovery Fee Collections
As of March 31, 2012**

DESCRIPTION	1st Qtr(FY) Oct. '11-Dec. '11	2nd Qtr(FY) Jan '12-Mar.'12	3rd Qtr(FY) Apr. '12- June'12	4th Qtr (FY) July '12-Sept '12	YTD Total Oct '11-Sept '12	YTD Fee Units
WATER IMPACT FEE						
Wholesale Customers	\$ 116,404	\$ (1,861)	\$ 0	\$ 0	\$ 114,543	30
DWPZ ETJ	4,250	24,150	0	0	28,400	14
DWPZ Inside	141,400	237,100	0	0	378,500	226
DDZ ETJ	187,350	20,400	0	0	207,750	154
DDZ Inside	103,800	520,100	0	0	623,900	696
Urban	131,850	206,175	0	0	338,025	365
CURE	6,500	55,250	0	0	61,750	72
NET COLLECTIONS	\$ 691,554	\$ 1,061,313	\$ 0	\$ 0	\$ 1,752,867	
Interest Earnings	752	893	0	0	1,644	
TOTAL WATER	\$ 692,306	\$ 1,062,206	\$ 0	\$ 0	\$ 1,754,512	1,556 *
WASTEWATER IMPACT FEE						
Wholesale Customers	\$ 91,000	\$ 87,261	\$ -	\$ -	\$ 178,261	117
DWPZ ETJ	0	2,550	0	0	2,550	1
DWPZ Inside	93,600	165,000	0	0	258,600	205
DDZ ETJ	49,400	7,800	0	0	57,200	69
DDZ Inside	45,200	253,600	0	0	298,800	582
Urban	72,044	118,031	0	0	190,075	293
CURE	3,300	30,450	0	0	33,750	53
NET COLLECTIONS	\$ 354,544	\$ 664,693	\$ 0	\$ 0	\$ 1,019,236	
Interest Earnings	499	552	0	0	1,050	
TOTAL WASTEWATER	\$ 355,042	\$ 665,244	\$ 0	\$ 0	\$ 1,020,287	1,319 *
TOTAL COMBINED COLLECTIONS	\$ 1,047,348	\$ 1,727,450	\$ 0	\$ 0	\$ 2,774,798	

* Number of units was obtained from Amanda.

City of Austin, Texas
Austin Water Utility
Semi-Annual Impact Fee Report By Fund
Inception through September 30, 2012

DESCRIPTION	THRU FY 1995-96	FY 1996-97 OCT- SEPT	FY 1997-98 OCT- SEPT	FY 1998-99 OCT- SEPT	FY 1999-2000 OCT- SEPT	FY 2000-01 OCT- SEPT	FY 2001-02 OCT-SEPT	FY 2002-03 OCT-SEPT	FY 2003-04 OCT-SEPT	FY 2004-05 OCT-SEPT	FY 2005-06 OCT-SEPT	FY 2006-07 OCT-SEPT	FY 2007-08 OCT-SEPT	FY 2008-09 OCT-SEPT	FY 2009-10 OCT-SEPT	FY 2010-11 OCT-SEPT	FY 2011-12 OCT-SEPT	ITD TOTAL
WATER IMPACT FEE																		
Inside City Limits	\$ 13,452,503	\$ 3,724,253	\$ 4,882,115	\$ 5,256,797	\$ 5,360,520	\$ 4,163,074	\$ 15,300	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 36,854,562
Outside City Limits	3,911,845	745,450	1,011,596	1,370,143	2,062,853	1,669,009	(1,300)	0	0	0	0	0	0	0	0	0	0	10,769,596
Wholesale Customers	2,129,401	428,946	261,203	267,347	292,417	116,056	724,936	983,550	597,900	467,800	613,050	266,606	1,057,244	631,532	148,550	254,350	114,543	9,355,430
DWPZ ETJ	0	0	0	0	0	0	187,850	132,400	275,400	108,500	50,400	269,395	168,450	157,579	48,050	56,100	28,400	1,482,523
DWPZ inside	0	0	0	0	0	0	1,280,400	1,359,213	1,396,716	1,465,219	1,782,200	1,402,863	855,965	433,901	470,900	914,250	378,500	11,740,127
DDZ ETJ	0	0	0	0	0	0	1,041,505	575,856	280,050	239,263	557,750	286,877	532,850	282,070	184,500	318,600	207,750	4,507,071
DDZ inside	0	0	0	0	0	0	1,299,797	1,767,450	1,876,359	2,223,225	2,162,600	2,203,800	1,686,825	1,123,437	803,090	813,000	623,900	16,583,483
Urban	0	0	0	0	0	0	375,289	226,579	435,720	477,126	675,525	700,405	746,497	408,075	252,478	440,631	338,025	5,076,350
CURE	0	0	0	0	0	0	10,250	10,750	43,500	12,250	104,000	71,550	102,250	25,500	12,250	68,250	61,750	522,300
Total Net Collections	\$ 19,493,749	\$ 4,898,649	\$ 6,154,914	\$ 6,894,287	\$ 7,715,790	\$ 5,948,139	\$ 4,934,027	\$ 5,055,798	\$ 4,905,645	\$ 4,993,383	\$ 5,945,525	\$ 5,201,496	\$ 5,150,081	\$ 3,062,094	\$ 1,919,818	\$ 2,865,181	\$ 1,752,867	\$ 96,891,443
Interest Earnings	\$ 780,456	248,110	554,835	667,907	411,718	788,403	104,810	101,613	68,477	67,332	101,447	141,302	126,096	84,522	13,753	5,302	1,644	4,267,727
Water Total	\$ 20,274,205	\$ 5,146,759	\$ 6,709,749	\$ 7,562,194	\$ 8,127,508	\$ 6,736,542	\$ 5,038,837	\$ 5,157,411	\$ 4,974,122	\$ 5,060,715	\$ 6,046,972	\$ 5,342,798	\$ 5,276,177	\$ 3,146,616	\$ 1,933,571	\$ 2,870,483	\$ 1,754,512	\$ 101,159,170
Cumulative Balance	\$ 6,430,359	\$ 7,077,118	\$ 13,786,867	\$ 17,274,061	\$ 12,401,569	\$ 19,210,202	\$ 24,398,395	\$ 12,085,509	\$ 12,048,381	\$ 11,164,044	\$ 11,130,316	\$ 11,073,114	\$ 10,879,179	\$ 8,749,617	\$ 7,536,572	\$ 8,473,485	\$ 7,357,513	
Add: Transfers-in from CRF Funded																		
Grant Funds & Other Adjustments	0	0	0	0	72,091	149,356	529,703	(7,250)	(1,052)	(700)	0	(112)	0	0	1,200	(600)	0	742,635
Less: Revenue Bond Defeasance	(18,343,846)	0	(4,075,000)	(13,000,000)	0	0	0	0	0	0	0	0	0	0	0	0	0	(35,418,846)
Less: Transfers to CIP							(18,000,000)	(5,004,000)	(5,944,000)	(6,080,000)	(5,400,000)				4,921,328			(35,506,672)
Less: Transfers to Debt Service	0	0	0	0	0	0	0	0	0	0	0	(5,470,000)	(6,110,000)	(5,000,000)	(8,921,328)	(3,000,000)	0	(28,501,328)
Balance After Trfrs & Defeasance	\$ 1,930,359	\$ 7,077,118	\$ 9,711,867	\$ 4,274,061	\$ 12,473,660	\$ 19,359,558	\$ 6,928,098	\$ 7,074,259	\$ 6,103,329	\$ 5,083,344	\$ 5,730,316	\$ 5,603,002	\$ 4,769,179	\$ 3,749,617	\$ 3,537,772	\$ 5,472,885	\$ 7,357,513	\$ 2,474,959
WASTEWATER IMPACT FEE																		
Inside City Limits	\$ 7,363,831	\$ 2,025,544	\$ 2,510,671	\$ 3,118,011	\$ 3,343,802	\$ 2,322,822	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 20,684,681
Outside City Limits	1,893,982	415,774	627,031	747,203	1,125,027	810,758	0	0	0	0	0	0	0	0	0	0	0	5,619,775
Wholesale Customers	2,073,977	479,307	183,266	148,351	198,554	59,800	2,427,746	355,700	442,300	547,750	658,750	681,250	858,500	834,771	368,600	524,500	178,261	11,021,383
DWPZ ETJ	0	0	0	0	0	0	69,650	126,750	427,700	59,450	28,250	164,500	68,650	61,200	9,750	27,300	2,550	1,045,750
DWPZ inside	0	0	0	0	0	0	900,172	967,900	982,300	1,029,860	1,208,684	790,426	380,600	297,400	302,400	591,120	258,600	7,709,462
DDZ ETJ	0	0	0	0	0	0	582,400	298,200	94,400	103,500	218,300	151,200	241,800	125,500	98,800	146,400	57,200	2,117,700
DDZ inside	0	0	0	0	0	0	630,580	826,700	925,800	1,109,820	1,119,050	1,082,006	707,172	512,000	383,660	409,100	298,800	8,004,687
Urban	0	0	0	0	0	0	174,198	119,264	237,000	272,724	370,900	336,956	402,475	201,750	129,139	228,501	190,075	2,662,982
CURE	0	0	0	0	0	0	3,150	4,100	21,900	7,150	55,350	44,550	56,800	3,600	4,800	27,761	33,750	262,911
Total Net Collections	\$ 11,331,790	\$ 2,920,625	\$ 3,320,968	\$ 4,013,565	\$ 4,667,383	\$ 3,193,380	\$ 4,787,896	\$ 2,698,614	\$ 3,131,400	\$ 3,130,254	\$ 3,659,284	\$ 3,250,887	\$ 2,715,997	\$ 2,036,221	\$ 1,297,149	\$ 1,954,682	\$ 1,019,236	\$ 59,129,332
Interest Earnings	497,724	178,315	349,293	237,518	287,273	510,370	94,054	59,429	31,324	51,608	101,853	153,001	105,758	48,407	10,251	2,924	1,050	2,720,152
Wastewater Total	\$ 11,829,514	\$ 3,098,940	\$ 3,670,261	\$ 4,251,083	\$ 4,954,656	\$ 3,703,750	\$ 4,881,950	\$ 2,758,043	\$ 3,162,724	\$ 3,181,862	\$ 3,761,137	\$ 3,403,888	\$ 2,821,755	\$ 2,084,628	\$ 1,307,400	\$ 1,957,606	\$ 1,020,287	\$ 61,849,483
Cumulative Balance	\$ 4,178,086	\$ 4,777,026	\$ 8,447,287	\$ 6,950,780	\$ 7,905,436	\$ 12,043,665	\$ 17,012,585	\$ 8,621,838	\$ 6,914,562	\$ 6,346,024	\$ 7,426,561	\$ 7,974,348	\$ 7,882,203	\$ 7,145,076	\$ 6,367,848	\$ 7,018,054	\$ 6,080,735	
Add: Transfers-in from CRF Funded																		
Grant Funds & Other Adjustments	0	0	0	0	434,479	86,970	151,210	0	(400)	(600)	3,899	(4,016)	0	0	800	(400)	0	671,942
Less: Revenue Bond Defeasance	(10,151,428)	0	(5,747,590)	(4,000,000)	0	0	0	0	0	0	0	0	0	0	0	0	0	(19,899,018)
Less: Transfers to CIP							(11,300,000)	(4,870,000)	(3,750,000)	(2,680,000)	(2,860,000)				6,464,345			(18,995,655)
Less: Transfers to Debt Service	0	0	0	0	0	0	0	0	0	0	0	(2,910,000)	(4,860,000)	(3,550,000)	(8,964,345)	(1,800,000)	0	(22,084,345)
Balance After Trfrs & Defeasance	\$ 1,678,086	\$ 4,777,026	\$ 2,699,697	\$ 2,950,780	\$ 8,339,915	\$ 12,130,635	\$ 5,863,795	\$ 3,751,838	\$ 3,164,162	\$ 3,665,424	\$ 4,570,460	\$ 5,060,448	\$ 3,022,203	\$ 3,595,076	\$ 3,868,648	\$ 5,217,654	\$ 6,080,735	\$ 1,542,408
COMBINED SUMMARY																		
Total Combined Collections	\$ 32,103,719	\$ 8,245,699	\$ 10,380,010	\$ 11,813,277	\$ 13,082,164	\$ 10,440,292	\$ 9,920,787	\$ 7,915,454	\$ 8,136,846	\$ 8,242,577	\$ 9,808,109	\$ 8,746,687	\$ 8,097,932	\$ 5,231,244	\$ 3,240,970	\$ 4,828,089	\$ 2,774,798	\$ 163,008,654
Cumulative Balance		11,854,144	22,234,154	24,224,841	20,307,005	31,253,867	41,410,980	20,707,347	18,962,943	17,510,068	18,556,877	19,047,462	18,761,266	13,022,509	11,032,236	12,619,355	10,566,064	
Add: Transfers-in from CRF Funded																		
Grant Funds & Other Adjustments	0	0	0	0	506,569.40	236,326.00	680,913.00	(7,250)	(1,452)	(1,300)	3,899	(4,128)	0	0	2,000	(1,000)	0	\$ 1,414,577
Less: Revenue Bond Defeasance	(28,495,274)	0	(9,822,590)	(17,000,000)	0	0	0	0	0	0	0	0	0	0	0	0	0	\$ (55,317,864)
Less: Transfers to CIP							(29,300,000)	(9,874,000)	(9,694,000)	(8,760,000)	(8,260,000)				11,385,673	0	0	\$ (54,502,327)
Less: Transfers to Debt Service	0	0	0	0	0	0	0	0	0	0	0	(8,380,000)	(10,970,000)	(8,550,000)	(17,885,673)	(4,800,000)	0	\$ (50,585,673)
Balance After Trfrs & Defeasance	\$ 3,608,445	\$ 11,854,144	\$ 12,411,564	\$ 7,224,841	\$ 20,813,575	\$ 31,490,193	\$ 12,791,893	\$ 10,826,097	\$ 9,267,491	\$ 8,748,768	\$ 10,300,776	\$ 10,663,334	\$ 7,791,266	\$ 4,472,509	\$ 4,534,236	\$ 7,818,355	\$ 10,566,064	\$ 4,017,367

City of Austin
Austin Water Utility
Semi-Annual Impact Fee Report By Class
Inception through September 30, 2012

DESCRIPTION	THRU FY 1995-96	FY 1996-97 OCT - SEPT	FY 1997-98 OCT - SEPT	FY 1998-99 OCT - SEPT	FY 1999-2000 OCT - SEPT	FY 2000-01 OCT - SEPT	FY 2001-02 OCT-SEPT	FY 2002-03 OCT-SEPT	FY 2003-04 OCT-SEPT	FY 2004-05 OCT-SEPT	FY 2005-06 OCT-SEPT	FY 2006-07 OCT-SEPT	FY 2007-08 OCT-SEPT	FY 2008-09 OCT-SEPT	FY 2009-10 OCT-SEPT	FY 2010-11 OCT-SEPT	FY 2011-12 OCT-SEPT	ITD TOTAL
INSIDE CITY LIMITS																		
Water	\$ 13,452,503	\$ 3,724,253	\$ 4,882,115	\$ 5,256,797	\$ 5,360,520	\$ 4,163,074	\$ 15,300	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 36,854,562
Urban, CURE	0	0	0	0	0	0	385,539	237,329	479,220	489,376	779,525	771,955	848,747	433,575	264,728	508,881	399,775	5,598,650
DWPZ inside, DDZ inside	0	0	0	0	0	0	2,580,197	3,126,663	3,273,075	3,688,444	3,944,800	3,606,663	2,542,790	1,557,338	1,273,990	1,727,250	1,002,400	28,323,611
Total Inside Water	\$ 13,452,503	\$ 3,724,253	\$ 4,882,115	\$ 5,256,797	\$ 5,360,520	\$ 4,163,074	\$ 2,981,036	\$ 3,363,992	\$ 3,752,295	\$ 4,177,820	\$ 4,724,325	\$ 4,378,618	\$ 3,391,537	\$ 1,990,913	\$ 1,538,718	\$ 2,236,131	\$ 1,402,175	\$ 70,776,823
	\$ 0																	\$ 0
Wastewater	\$ 7,363,831	\$ 2,025,544	\$ 2,510,671	\$ 3,118,011	\$ 3,343,802	\$ 2,322,822	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 20,684,681
Urban, CURE	0	0	0	0	0	0	177,348	123,364	258,900	279,874	426,250	381,506	459,275	205,350	133,939	256,262	223,825	2,925,893
DWPZ inside, DDZ inside	0	0	0	0	0	0	1,530,752	1,794,600	1,908,100	2,139,680	2,327,734	1,872,432	1,087,772	809,400	686,060	1,000,220	557,400	15,714,150
Total Inside Wastewater	\$ 7,363,831	\$ 2,025,544	\$ 2,510,671	\$ 3,118,011	\$ 3,343,802	\$ 2,322,822	\$ 1,708,100	\$ 1,917,964	\$ 2,167,000	\$ 2,419,554	\$ 2,753,984	\$ 2,253,937	\$ 1,547,047	\$ 1,014,750	\$ 819,999	\$ 1,256,482	\$ 781,225	\$ 39,324,724
	\$ 0																	\$ 0
TOTAL INSIDE CRFs	\$ 20,816,334	\$ 5,749,797	\$ 7,392,786	\$ 8,374,808	\$ 8,704,322	\$ 6,485,896	\$ 4,689,136	\$ 5,281,956	\$ 5,919,295	\$ 6,597,374	\$ 7,478,309	\$ 6,632,555	\$ 4,938,584	\$ 3,005,663	\$ 2,358,717	\$ 3,492,614	\$ 2,183,400	\$ 110,101,546
	0																	0
OUTSIDE CITY LIMITS																		
Water	\$ 3,911,845	\$ 745,450	\$ 1,011,596	\$ 1,370,143	\$ 2,062,853	\$ 1,669,009	\$ (1,300)	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 10,769,596
Wholesale	2,129,401	428,946	261,203	267,347	292,417	116,056	724,936	983,550	597,900	467,800	613,050	266,606	1,057,244	631,532	148,550	254,350	114,543	9,355,430
DWPZ ETJ,DDZ ETJ	0	0	0	0	0	0	1,229,355	708,256	555,450	347,763	608,150	556,272	701,300	439,649	232,550	374,700	236,150	5,989,594
Total Outside Water	\$ 6,041,246	\$ 1,174,396	\$ 1,272,799	\$ 1,637,490	\$ 2,355,270	\$ 1,785,065	\$ 1,952,991	\$ 1,691,806	\$ 1,153,350	\$ 815,563	\$ 1,221,200	\$ 822,878	\$ 1,758,544	\$ 1,071,181	\$ 381,100	\$ 629,050	\$ 350,692	\$ 26,114,621
	\$ 0																	\$ 0
Wastewater	\$ 1,893,982	\$ 415,774	\$ 627,031	\$ 747,203	\$ 1,125,027	\$ 810,758	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 5,619,775
Wholesale	2,073,977	479,307	183,266	148,351	198,554	59,800	2,427,746	355,700	442,300	547,750	658,750	681,250	858,500	834,771	368,600	524,500	178,261	11,021,383
DWPZ ETJ,DDZ ETJ	0	0	0	0	0	0	652,050	424,950	522,100	162,950	246,550	315,700	310,450	186,700	108,550	173,700	59,750	3,163,450
Total Outside Wastewater	\$ 3,967,959	\$ 895,081	\$ 810,297	\$ 895,554	\$ 1,323,581	\$ 870,558	\$ 3,079,796	\$ 780,650	\$ 964,400	\$ 710,700	\$ 905,300	\$ 996,950	\$ 1,168,950	\$ 1,021,471	\$ 477,150	\$ 698,200	\$ 238,011	\$ 19,804,608
	\$ 0																	\$ 0
TOTAL OUTSIDE CITY CRFs	\$ 10,009,205	\$ 2,069,477	\$ 2,083,096	\$ 2,533,044	\$ 3,678,851	\$ 2,655,623	\$ 5,032,787	\$ 2,472,456	\$ 2,117,750	\$ 1,526,263	\$ 2,126,500	\$ 1,819,828	\$ 2,927,494	\$ 2,092,652	\$ 858,250	\$ 1,327,250	\$ 588,704	\$ 45,919,229
	0																	0
INTEREST																		
Water Interest	\$ 780,456	\$ 248,110	\$ 554,835	\$ 667,907	\$ 411,718	\$ 788,403	\$ 104,810	\$ 101,613	\$ 68,477	\$ 67,332	\$ 101,447	\$ 141,302	\$ 126,096	\$ 84,522	\$ 13,753	\$ 5,302	\$ 1,644	\$ 4,267,727
	\$ 0																	\$ 0
Wastewater Interest	497,724	178,315	349,293	237,518	287,273	510,370	94,054	59,429	31,324	51,608	101,853	153,001	105,758	48,407	10,251	2,924	1,050	2,720,152
	\$ 0																	\$ 0
TOTAL INTEREST	\$ 1,278,180	\$ 426,425	\$ 904,128	\$ 905,425	\$ 698,991	\$ 1,298,773	\$ 198,864	\$ 161,042	\$ 99,801	\$ 118,940	\$ 203,300	\$ 294,303	\$ 231,854	\$ 132,929	\$ 24,003	\$ 8,226	\$ 2,695	\$ 6,987,878
	\$ 0																	\$ 0
TOTAL INSIDE/OUTSIDE CRFS & INTEREST	\$ 32,103,719	\$ 8,245,699	\$ 10,380,010	\$ 11,813,277	\$ 13,082,164	\$ 10,440,292	\$ 9,920,787	\$ 7,915,454	\$ 8,136,846	\$ 8,242,577	\$ 9,808,109	\$ 8,746,687	\$ 8,097,932	\$ 5,231,244	\$ 3,240,970	\$ 4,828,089	\$ 2,774,798	\$ 163,008,654
	\$ 0																	\$ 0
SUMMARY																		
Total Inside City CRFs	\$ 20,816,334	\$ 5,749,797	\$ 7,392,786	\$ 8,374,808	\$ 8,704,322	\$ 6,485,896	\$ 4,689,136	\$ 5,281,956	\$ 5,919,295	\$ 6,597,374	\$ 7,478,309	\$ 6,632,555	\$ 4,938,584	\$ 3,005,663	\$ 2,358,717	\$ 3,492,614	\$ 2,183,400	\$ 110,101,546
	\$ 0																	\$ 0
Total Outside City CRFs	10,009,205	2,069,477	2,083,096	2,533,044	3,678,851	2,655,623	5,032,787	2,472,456	2,117,750	1,526,263	2,126,500	1,819,828	2,927,494	2,092,652	858,250	1,327,250	588,704	\$ 45,919,229
	\$ 0																	\$ 0
Total Interest	1,278,180	426,425	904,128	905,425	698,991	1,298,773	198,864	161,042	99,801	118,940	203,300	294,303	231,854	132,929	24,003	8,226	2,695	\$ 6,987,878
Add: Transfers-in from CRF Funded	0																	\$ 0
Grant Funds & Other Adjustments	0	0	0	0	506,569.40	236,326	680,913	(7,250)	(1,452)	(1,300)	3,899	(4,128)	0	0	2,000	(1,000)	0	\$ 1,414,577
	\$ 0																	\$ 0
Defeasance Application	(28,495,274)	0	(9,822,590)	(17,000,000)	0	0	0	0	0	0	0	0	0	0	0	0	0	\$ (55,317,864)
Transfers to CIP	0						(29,300,000)	(9,874,000)	(9,694,000)	(8,760,000)	(8,260,000)	0	0	0	11,385,673	0	0	\$ (54,502,327)
Transfers to Debt Service	0	0	0	0	0	0	0	0	0	0	0	(8,380,000)	(10,970,000)	(8,550,000)	(17,885,673)	(4,800,000)	0	\$ (50,585,673)
	\$ 0																	\$ 0
TOTAL	\$ 3,608,445	\$ 8,245,699	\$ 557,420	\$ (5,186,723)	\$ 13,588,733	\$ 10,676,618	\$ (18,698,300)	\$ (1,965,796)	\$ (1,558,606)	\$ (518,723)	\$ 1,552,008	\$ 362,559	\$ (2,872,068)	\$ (3,318,757)	\$ (3,257,030)	\$ 27,089	\$ 2,774,798	\$ 4,017,367

City of Austin, Texas
Austin Water Utility
Semi-Annual Capital Recovery Fee Units for Wholesale Customers
As of March 31, 2012

MONTH	CASH REPORT REF. #	DATE	WHOLESALE CUSTOMER	CRF ORG	FEE UNITS WATER	FEE UNITS WWATER
1st QTR FY 2011-12						
Oct-11	CR1110270213	10/25/11	WCID #17	9950		11.0
Nov-11	CR1112010483	11/29/11	WCID #17	9950		15.0
	CR1111090355	11/04/11	Northtown	9915	5.5	4.0
	CR1112060521	11/30/11	Northtown	9915	3.0	3.0
	CR1111140373	11/09/12	Rivercrest	9970	2.0	
	CR1111040314	11/01/11	West Lake Hills	9951		26.0
Dec-11	CR1112300694	12/30/11	Rivercrest	9970	3.0	
	CR1112290683	12/21/11	WCID #17	9950		11.0
1st Quarter Total					<u>13.5</u>	<u>70.0</u>
2nd QTR FY 2011-12						
Jan-12	CR1201110789	01/04/12	Northtown	9915	8.0	8.0
	CR1201300940	01/26/12	Northtown	9915	4.0	4.0
	CR1201260921	01/24/12	WCID #17	9950		7.0
Feb-12	CR1202271143	02/22/12	WCID #17	9950		16.0
Mar-12	CR1203051228	03/05/12	Northtown	9915	2.0	2.0
	CR1203281424	03/26/12	WCID #10	9961	2.5	
	CR1203271418	03/23/12	WCID #17	9950		10.0
2nd Quarter Total					<u>16.5</u>	<u>47.0</u>
Six Month Total					30.0	117.0
Previous Six Month Total						
YTD Total					<u>30.0</u>	<u>117.0</u>

City of Austin, Texas
Austin Water Utility
Summary of Capital Recovery Fee Exemptions and Waivers
Per Taps Office Records for the Period October 1, 2011, to March 31, 2012

Type of Waiver/Exemption	Water \$	Wastewater \$	Total \$	Water Units	WW Units
1. LDC Section 25-9-347 Ordinance 20000420-077 Affordable Housing 1,500 fee units/year	\$ 131,075	\$ 78,725	\$ 209,800	235.0	235.0
2. LDC Section 25-9-341(E) 2nd Meter for Single Family Residence (Old REF# 13-3A-10(d))	-	-	-		
3. LDC Section Tap for fire protection only (Old REF # 13-3A-10(e))	3,900	2,800	6,700	8.0	8.0
4. LDC Section 25-9-341 Reconnect, Abandon Previous Tap (Old REF# 13-3A-10(f))	127,200	63,100	190,300	333.5	282.0
5. LDC Section 25-9-345(B) Annexed property - 2 year waiver (Old REF# 13-3A-10(h))	18,000	1,200	19,200	13.5	1.0
6. LDC Section 25-9-341(B) State of Texas (Old REF# 13-3A-10(i))	20,600	6,400	27,000	36.0	16.0
7. LDC Section 25-9-341(C) C.D.B.G. Funding (Old REF# 13-3A-10(j))	-	-	-		
8. LDC Section 25-9-324 Second Sewer Tap (Old REF# 13-3A-8(b)(1))		12,400	12,400		19.5
9. LDC Section 25-9-353 Previously paid CRF, Tap expired (Old REF# 13-3A-8(g))	113,400	60,100	173,500	174.0	171.5
10. LDC Section 25-9-326 Installment payment of impact fees (Old REF# 13-3A-9(d))	-	-	-		
11. City Ordinance 980122-C 1997 Annexation- 10 year waiver					
12. EX-0002 Already paying for WW service and no service until they come in for a TAP	-	-	-		
13. EX-0003 LUE Assignment (2)			-		
14. EX-0004 Council Approved exemptions (3)	132,450	82,800	215,250	70.0	70.0
15. EX-0005 Stratus Properties (4)	52,050	30,600	82,650	28.5	25.5
16. EX-0006 WW Construction Projects	-	-	-		
17. EX-0007 Combo Stratus/Smart Housing (5)	-	-	-		0.0
18. EX-0009 Sentate Bill 883 School Waivers	-	-	-	0	0
19. 13-3A-6(c) Master Meter Exemption	-	-	-	0	
20. 13-3A-10(M) Water Well Annexation	6,000		6,000	4	
TOTALS	\$ 604,675	\$ 338,125	\$ 942,800	902.5	828.5

**City of Austin, Texas
Austin Water Utility**

Notes to Summary of Capital Recovery Fee Exemptions and Waivers:

- (1) LDC = The City of Austin Land Development Code.
- (2) LUE Assignment = Developer has entered into an agreement with the City to receive LUE credits because of funds expended by the developer to provide water and wastewater services. An example of this is The Forest Section 1 & 2. In this case, each time the developer sells a lot to a builder, the builder is eligible to receive CRF credits.
- (3) Includes various council approvals for exemptions including smart growth and the Bradley agreement from 2000 that states the City will agree to waive all water and wastewater CRFs and impact fees for all single family residential development project on the Original Edwards' Easement land and all non retail commercial development buildings containing less than 50,000 square ft of gross floor area. Any other development on the land including the development of a hotel and/or the Golf Course will be subject to all fees. Includes a waiver for the six party agreement for the Northridge water supply corporation project.
- (4) Circle C Land Development Corp DBA Stratus Properties....\$15,000,000. 1000+ acres development in SW Austin but up to \$1.5 million per year can be used for both CRF and tap fees in DDZ.
- (5) Stratus properties that are exempt from tap fees and CRFs for Smart Housing

In July 2003 KB Homes used \$174,900 in Stratus Credits to adjust their Smart Housing goals rather than pay CRFs for previously exempted properties.