

FY'13 AMENDED BUDGET

October 1, 2012 - September 30, 2013

SUBSTANCE ABUSE MSO

Budget Funding Sources and Distribution

FUNDING SOURCES:

CITY OF AUSTIN (HHSD)	\$ -0-
CITY OF AUSTIN (Downtown Austin Community Court)	\$310,921.00
TRAVIS COUNTY	\$611,799.00
TRAVIS COUNTY (FY'11 Carryover)	\$313,130.00

Sub-total \$1,235,850.00

TRAVIS COUNTY (FY'13 Allocated Reserves) \$210,000.00

*(All or any portion of the Travis County funds listed above may also be spent on the Parenting in Recovery Program.)

TRAVIS COUNTY (FY'13 PARENTING IN RECOVERY GRANT FUNDS)
\$168,714.00

TRAVIS COUNTY (FY'12 PARENTING IN RECOVERY CARRY OVER GRANT FUNDS)
\$33,827.00

TOTAL FUNDS \$1,648,391.00

DISTRIBUTION:

I. Homeless, High Risk Adults & Youth, and Downtown Austin Community Court Target Populations

MSO Fee..... 12%
Maximum..... \$148,302.00 (12% x \$1,235,850.00)

DIRECT SERVICES (through Providers).....88%
Maximum: \$1,087,548.00 (88% x \$1,235,850.00)

(ATCIC will calculate the MSO Fee as .1363636 of the amount billed for this funding source's direct services each month during the Agreement term. ATCIC will add the MSO Fee to the direct service reimbursement amount for a total Request for Payment amount.)

Youth Services. \$135,000 of Travis County's \$611,799 can be used for youth services, as described in Section III.D of the FY'13 Renewal Term Work Statement, "Description of Required Services for High-Risk Youth."

TRAVIS COUNTY (FY'13 Allocated Reserves)

MSO Fee 5%
Maximum..... \$10,500.00 (5% x \$210,000.00)

DIRECT SERVICES (through Providers)....95%
Maximum: \$199,500.00 (95% x \$210,000.00)

(ATCIC will calculate the MSO Fee as .0526335 of the amount billed for this funding source's direct services each month during the Agreement term. ATCIC will add the MSO Fee to the direct service reimbursement amount for a total Request for Payment amount.)

II. Parenting In Recovery Target Population (Travis County FY'13 Grant Funds and FY'12 Carry Over Grant Funds)

MSO Fee 5 %
Maximum..... \$10,127.00 (5 % x \$202,541.00)

DIRECT SERVICES (through Providers)
Maximum: \$192,414.00 (95% x \$202,541.00)

The grant funds budgeted for the MSO Fee and Direct Services are for the period September 30, 2012 through September 29, 2013.

(ATCIC will calculate the MSO Fee as .0526335 of the amount billed for this funding source's direct services each month during the Agreement term. ATCIC will add the MSO Fee to the direct service reimbursement amount for a total Request for Payment amount.)

1. Maximum Total Contract Funds – FY'13 Renewal Term

A total amount of \$1,648,391.00 ("Contract Funds") is available during the FY'13 Renewal Term (October 1, 2012 – September 30, 2013), with the exception of the Parenting in Recovery Grant funds (\$202,541.00) which are available according to the terms of the Grant. All payments are contingent upon ATCIC's continuing compliance with Agreement terms, including submission of required reports and supporting documentation.

The total amount of contract funds includes \$202,541.00 from the Parenting in Recovery Grant. These funds will be used exclusively for the Parenting in Recovery Grant program as referenced above, and will be made available according to the terms of the Grant.

a. Contract Funds – Network Service Providers

Network Providers. ATCIC will negotiate contracts with fee-for-service rates with each of the community-based Network service providers for the FY'13 Renewal Term. Costs of Services provided by those providers will be paid for by ATCIC using grant and non-grant Direct Service Contract Funds in an amount not to exceed the amount shown above in the FY'13 Budget for Contract Funds (up to \$1,648,391.00). ATCIC agrees that payments to the Network service providers will be based upon the satisfactory provision of Services in accordance with applicable term of this Agreement, including the Work Statement. All such contracts shall provide for monitoring and audit of submitted claims and contract

compliance by County for services funded by County grant funds, and by City and County for services funded by Contract Funds. Contracts with providers receiving Grant funds shall incorporate the Grant agreement and all applicable terms of the Agreement, including this FY'13 Renewal, and ATCIC shall require providers to comply with the grant agreement, if applicable, and with terms and conditions of the Agreement, as amended by this FY'13 Renewal.

b. Request for Payment and Status of Funds

Request for Payment:

Per the terms and conditions of the Agreement, ATCIC will file a complete and correct (as determined by City and County) Request for Payment and Status of Funds Report ("Request for Payment") with the Department within twenty (20) days of the end of each month in which treatment services were delivered to Eligible Clients. The Request for Payment will itemize, in detail and per Department specifications, Services provided to Eligible Clients and associated costs. The Request for Payment will distinguish clients served according to funding source such that grant-funded services and services funded by Contract Funds are separated and identified to ensure proper accounting application.

Target Population Obligations:

During the FY'13 Renewal Term, ATCIC will provide documentation with each monthly Request for Payment showing the amount expended for Services provided as a direct service to each target population. ATCIC will cooperate with Department throughout the FY'13 Renewal Term in allocating City and County Contract Funds for designated target populations, as required by City and County.

c. Fee-for-Service Rates

Provider Rates: During the FY'13 Renewal Term, all service providers will be reimbursed (for Services delivered to Eligible Clients from October 1, 2012, through September 30, 2013) on a fee-for-service payment arrangement, based on approved claims for Services delivered to Eligible Clients under the Agreement. The Parenting in Recovery grant funds are for services delivered to Eligible Clients from September 30, 2012 to September 29, 2013. As of October 1, 2012, fee-for-service rates will be paid to the Network service providers for services and rates as agreed upon and approved in writing by the Department and ATCIC. Rates may need to be adjusted at times, contingent upon written approval of the Department, based on market and cost factors and as recommended by ATCIC and approved in advance by Department.

Rate Setting: With respect to rate-setting in general under the Agreement, ATCIC will assist providers with rate development with all rates subject to prior Department approval. ATCIC will submit all rate requests (e.g. for new

Services, new providers, and/or rate changes) to the Department in writing, with complete supporting documentation to explain the basis for the rate calculation and to justify the rate requested. These requests by ATCIC must be fully negotiated with the provider and submitted to the Department for approval in a timely manner so that Department has sufficient time to review the request and determine whether or not it will be approved.

Youth Services: ATCIC shall use \$135,000 in Contract Funds provided by Travis County for substance abuse intervention and treatment services to youth. Priority will be given to referrals from the Youth and Family Assessment Center, The Children's Partnership, and the Deferred Prosecution Unit of Travis County Juvenile Probation Department.

d. Service Estimates and Network Expansion

Service Estimates: A budgeted minimum estimate of Contract Funds to be provided for direct services funding to each Target Population for the FY'13 Renewal Term is shown below. ATCIC will review claims paid, data and service levels at least quarterly and make adjustments to the actual service level budgeting, and will notify the Department in writing within 30 days of making any such adjustment. Department may, at any time, request a review of such adjustments, and ATCIC will work with Department if Department determines that the adjustments being made may warrant discussion and/or change. The unassigned direct service funds shown below may be used for purchasing additional Services from current Network providers and, contingent upon prior Department approval, for exceptional referrals for needed Services outside the Network and/or for purchasing Services from providers not yet recruited into the Network.

Initial Budgeted Minimum Direct Service Level Estimates for FY'13

Target Population	Amount
Homeless/At Risk Adults	\$890,638
Downtown Austin Community Court	\$273,610
Youth Treatment Services	\$118,800

Incentives for Eligible Clients Follow-up Surveys	\$4,000
Parenting In Recovery	\$192,414
TOTAL	\$1,479,462

NOTE: The “Proposed Service Levels” (shown in the chart above) are estimates and subject to periodic adjustments by ATCIC during the FY’13 Renewal Term, as approved by City and County, in order to maximize access to appropriate services for Eligible Clients to be served under the Agreement during FY’13.

Network Expansion: The need for service Network expansion will be evaluated by ATCIC on an ongoing basis in order to ensure adequate service capacity, access to Services, and availability of the continuum of Services required by City and County for Eligible Clients under the Agreement. If ATCIC determines that a service expansion is required during the FY’13 Renewal Term, ATCIC will promptly submit written recommendations to the Department and obtain Department approval in writing at least thirty (30) days prior to proposed effective date of the expansion.