RESOLUTION NO.20130926-079

WHEREAS, the City Council is responsible for hiring and reviewing four management and director positions responsible for critical City functions including the City Manager, City Clerk, City Auditor, and Municipal Court Clerk; and

WHEREAS, City Council reviews each position annually during Executive Session; and

WHEREAS, other City employees including Department Directors have a written review process through the Success Strategy Performance Review Program (SSPR); and

WHEREAS, having predictable, written reviews provides more productive and substantive deliberation; and

WHEREAS, a more thorough and transparent review process would benefit the people in these positions by providing structured, constructive feedback and clear expectations; and

WHEREAS, the University of Tennessee's Institute for Public Service authored a sample review form that is promoted as a good practice by the International City/County Management Association (ICMA); and

WHEREAS, Attachments A, B, C, and D are based on the city manager performance evaluation form that was developed by the University of Tennessee's Institute for Public Service; NOW, THEREFORE,

BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF AUSTIN:

The City Council shall use the attached written review forms during the

annual review process for each of the four Council appointed positions including

the City Manager, City Auditor, City Clerk, and Municipal Clerk. Forms shall be

submitted by the Mayor and each Council Member to the Human Resources

Director at least five business days prior to the posted reviews for each position.

BE IT FURTHER RESOLVED:

That the City Manager shall direct the Human Resources Department to

compile score averages and written comments in a comprehensive report for each

Council appointed position to be used and discussed by the Mayor, Council

Members, and the Council appointees during the annual review during Executive

Session.

ADOPTED: September 26, 2013 ATTEST:

City Clerk

City Manager Performance Evaluation

City of Austin

-	Evaluation period:	to
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Có	Council Member's Name	
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Each C	Council Member should complete this ev	aluation form, sign it in the space below,
and reti	eturn it to the Director of the Human Reso	ources Department. The deadline for
submitti	itting this performance evaluation is five t	ousiness days prior to the City Manager's
posted	d evaluation. Evaluations will be summar	ized by Human Resources staff and
provide	led to the Mayor and Council Members to	or discussion during Executive Session o
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,	Council Member's Signature	•
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Date Submitted

This evaluation form includes two parts: A quantitative score sheet, covering multiple categories of performance criteria; and a narrative comments section. A summary of the score sheet results and all narrative comments will be distributed to all Council Members in executive session, and will be used as a basis for Council discussion of the City Manager's performance.

Score sheet. Each of the categories contains multiple statements that describe a behavior standard in that category. For each statement, rate the City Manager's performance along the following scale.

- 5 = excellent (almost always exceeds the performance standard)
- 4 = above average (generally exceeds the performance standard)
- 3 = average (generally meets the performance standard)
- 2 = below average (usually does not meet the performance standard)
- 1 = poor (rarely meets the performance standard)

1. INDIVIDUAL CHARACTERISTICS

If you do not have enough information to rate the City Manager on a particular characteristic, leave it blank. Blanks will not be included in the numerical scoring, but the number of blanks for that characteristic will be recorded.

Narrative comments. At the end of the form you will have an opportunity to respond to specific questions, and to provide any other comments you believe appropriate and pertinent to the City Manager's evaluation. Please write legibly or attach a printed Word document.

Please leave all pages of this evaluation form attached. Initial each page, including any printed sheets you attached. Sign and date the cover page. All evaluations submitted prior to the deadline will be included in the summary prepared for Council discussion.

PERFORMANCE CATEGORY SCORING

Diligent	t and thorough in the discharge of duties, "self-starter"
Exercise	es good judgment
Display	s enthusiasm, cooperation, and will to adapt
Exhibits	s composure and attitude appropriate for executive position

Maintains knowledge of current developments affecting the practice of local government nanagement Demonstrates a capacity for innovation and creativity
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emonstrates a capacity for innovation and creativity
Inticipates and analyzes problems to develop effective approaches for solving them Villing to try new ideas proposed by governing body members and/or staff
ets a professional example by handling affairs of the public office in a fair and impartial nanner
TIONS WITH ELECTED MEMBERS OF THE GOVERNING BODY
Carries out directives of the body as a whole as opposed to those of any one member or minority group
sets meeting agendas that reflect the guidance of the governing body and avoids
innecessary involvement in administrative actions
Disseminates complete and accurate information equally to all members in a timely manner
Assists by facilitating decision making without usurping authority
Responds well to requests, advice, and constructive criticism
CY EXECUTION
mplements governing body actions in accordance with the intent of Council
supports the actions of the governing body after a decision has been reached, both as ide and outside the organization
Inderstands, supports, and enforces local government's laws, policies, and ordinances
Reviews ordinance and policy procedures periodically to suggest improvements to their fectiveness
Offers workable alternatives to the governing body for changes in law or policy when an xisting policy or ordinance is no longer practical

5. F	REPORTING
	Provides regular information and reports to the governing body concerning matters of
	importance to the local government, using the City Charter as a guide
	Responds in a timely manner to requests from the governing body for special reports
	Takes the initiative to provide information, advice, and recommendations to the
	governing body on matters that are non-routine and not administrative in nature
	Reports produced by the manager are accurate, comprehensive, concise and written to
	their intended audience
	Produces and handles reports in a way to convey the message that affairs of the
	organization are open to public scrutiny
. (CITIZEN RELATIONS
υ. ι	Responsive to requests from citizens
	Demonstrates a dedication to service to the community and its citizens
	Maintains a nonpartisan approach in dealing with the news media
	Meets with and listens to members of the community to discuss their concerns and
	strives to understand their interests
	Makes appropriate efforts to maintain citizen satisfaction with city services
	Makes appropriate errorts to maintain citizen satisfaction with city services
7. 9	STAFFING
	Recruits and retains competent personnel for staff positions
	Applies an appropriate level of supervision to improve any areas of substandard
	performance
	Stays accurately informed and appropriately concerned about employee relations
	Ensures professional management of the compensation and benefits plan
	Promotor training and development opportunities at all levels of the organization

8. SUPERVISION	
Encourages he	eads of departments to make decisions within their jurisdictions with
minimal city m	nanager involvement, yet maintains general control of operations by
providing the	right amount of communication to the staff
Instills confide	nce and promotes initiative in subordinates through supportive rather
	e controls for their programs while still monitoring operations at the
department le	
	maintains a friendly and informal relationship with the staff and work forc maintains the professional dignity of the City Manager's office
	proves staff performance by evaluating the performance of staff members
at least annua	lly, setting goals and objectives for them, periodically assessing their
	providing appropriate feedback
Encourages te	amwork, innovation, and effective problem-solving among staff members
9. FISCAL MANAGEN	
	lanced budget to provide services at a level directed by Council
	st possible use of available funds, conscious of the need to operate the
local governm	ent efficiently and effectively
Prepares a bu	dget and budgetary recommendations in an intelligent and accessible
format	
Ensures action planning and a	ns and decisions reflect an appropriate level of responsibility for financial accountability
Appropriately	monitors and manages fiscal activities of the organization
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10. COMMUNITY
Shares responsibility for addressing the difficult issues facing the City
Avoids unnecessary controversy
Cooperates with neighboring communities and the country
Helps the Council address future needs and develop adequate plans to address long term
trends
Cooperates with other regional, state, and federal government agencies
NARRATIVE EVALUATION
What would you identify as the City Manager's strengths, expressed in terms of the principal
results achieved during the rating period?
What performance areas would you identify as most critical for improvement?
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hat suggestic	ons or assistance can you offer the City Manager to improve performance?
	mments do you have for the City Manager (for example, about priorities,
pectations, g	goals, or specific objectives for the next year)?
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City Auditor Performance Evaluation

City of Austin

Evaluation period:	to
Council Member's Name	
Each Council Member should complete	e this evaluation form, sign it in the space below,
and return it to the Director of the Hum	an Resources Department. The deadline for
submitting this performance evaluation	is five business days prior to the City Auditor's
posted evaluation. Evaluations will be	summarized by Human Resources staff and
provided to the Mayor and Council Mer	mbers for discussion during Executive Session on
Council Member's Signature	
Date Submitted	• ·

This evaluation form includes two parts: A quantitative score sheet, covering multiple categories of performance criteria; and a narrative comments section. A summary of the score sheet results and all narrative comments will be distributed to all Council Members in executive session, and will be used as a basis for Council discussion of the City Auditor's performance.

Score sheet. Each of the categories contains multiple statements that describe a behavior standard in that category. For each statement, rate the City Auditor's performance along the following scale.

- 5 = excellent (almost always exceeds the performance standard)
- 4 = above average (generally exceeds the performance standard)
- 3 = average (generally meets the performance standard)
- 2 = below average (usually does not meet the performance standard)
- 1 = poor (rarely meets the performance standard)

If you do not have enough information to rate the City Auditor on a particular characteristic, leave it blank. Blanks will not be included in the numerical scoring, but the number of blanks for that characteristic will be recorded.

Narrative comments. At the end of the form you will have an opportunity to respond to specific questions, and to provide any other comments you believe appropriate and pertinent to the City Auditor's evaluation. Please write legibly or attach a printed Word document.

Please leave all pages of this evaluation form attached. Initial each page, including any printed sheets you attached. Sign and date the cover page. All evaluations submitted prior to the deadline will be included in the summary prepared for Council discussion.

PERFORMANCE CATEGORY SCORING

Diligent and thorough in t	the discharge of	duties, "self-starter"
Exercises good judgment		
Displays enthusiasm, cool	peration, and w	ill to adapt
Exhibits composure and a	ttitude appropi	riate for the position
		,
	Page 2 of 6	Initials

1. INDIVIDUAL CHARACTERISTICS

2. PRO	FESSIONAL SKILLS AND STATUS
	Maintains knowledge of current developments affecting the practice of local government
ľ	management
	Demonstrates a capacity for innovation and creativity
	Anticipates and analyzes problems to develop effective approaches for solving them
	Willing to try new ideas proposed by governing body members and/or staff
	Sets a professional example by handling affairs of the public office in a fair and impartial
Γ	nanner
3. RELA	ATIONS WITH ELECTED MEMBERS OF THE GOVERNING BODY
	Carries out directives of the body as a whole as opposed to those of any one member or minority group
	Sets meeting agendas for Audit and Finance that reflect the guidance of the governing
l	pody and avoids unnecessary involvement in administrative actions
	Disseminates complete and accurate information equally to all members in a timely manner
	Responds well to requests, advice, and constructive criticism
	Provides informative reports to Council including useful recommendations for
	compliance
4. AUD	ITOR FUNCTIONS
	Supports the actions of the governing body after a decision has been reached, both
i	nside and outside the organization
	Helps the Council address future needs and develop adequate plans to address long term
1	trends
	Plans and directs the financial and fiscal compliance, program, operational, and
(computer audits of City programs.
	Has considerable expertise in auditing for fiscal compliance with procedures, program
	results, operational efficiency, and electronic data processing system development and security.
	Develops proactive work plans but demonstrates flexibility to handle special projects

5. R	EPORTING
	Provides regular information and reports to the governing body concerning matters of
	importance to the local government, using the City Charter as a guide
	Responds in a timely manner to requests from the governing body for special reports
	Takes the initiative to provide information, advice, and recommendations to the
	governing body on matters that are non-routine and not administrative in nature
	Reports produced by the auditor are accurate, comprehensive, concise and written to
	their intended audience
	Produces and handles reports in a way to convey the message that affairs of the
	organization are open to public scrutiny
6. FI	SCAL MANAGEMENT
	Makes the best possible use of available funds, conscious of the need to operate the
	local government efficiently and effectively
	Prepares a budget and budgetary recommendations for the Office of the City Auditor in
	an intelligent and accessible format
	Ensures actions and decisions reflect an appropriate level of responsibility for financial
	planning and accountability
	Appropriately monitors and manages fiscal activities of the department
7. S	TAFFING & SUPERVISION
	Manages staff effectively
	Encourages teamwork, innovation, and effective problem-solving among staff members
	Instills confidence and promotes initiative in subordinates through supportive rather
	than restrictive controls for their programs while still monitoring operations at the staff
	level
	Sustains or improves staff performance by evaluating the performance of staff members
	at least annually, setting goals and objectives for them, periodically assessing their
	progress, and providing appropriate feedback
	Promotes training and development opportunities at all levels of the organization

NARRATIVE EVALUATION

What would you identify as the City Auditor's strengths, expressed in tern	ns of the principal
results achieved during the rating period?	•
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What performance areas would you identify as most critical for improven	nent?
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What suggestions or assistance can you offer the City Auditor to improve	performance?
what suggestions of assistance can you oner the city ridulor to improve	por or mande.
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What other comments do you have for the City Auditor (for example, about priorities, expectations, goals, or specific objectives for the next year)?				
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Municipal Court Clerk Performance Evaluation

City of Austin

Evaluation period:	to	
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Council Member's Name		
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Each Council Member should complete	ete this evaluation form, sign it in the space be	iow,
and return it to the Director of the Hun	ıman Resources Department. The deadline for	
submitting this performance evaluation	on is five business days prior to the Municipal	Court
Clerk's posted evaluation. Evaluations	ns will be summarized by Human Resources st	aff
and provided to the Mayor and Counc	ncil Members for discussion during Executive	
Session on		
Council Member's Signature		

Date Submitted

This evaluation form includes two parts: A quantitative score sheet, covering multiple categories of performance criteria; and a narrative comments section. A summary of the score sheet results and all narrative comments will be distributed to all Council Members in executive session, and will be used as a basis for Council discussion of the Municipal Court Clerk's performance.

Score sheet. Each of the categories contains multiple statements that describe a behavior standard in that category. For each statement, rate the Municipal Court Clerk's performance along the following scale.

5 = excellent (almost always exceeds the performance standard)

- 4 = above average (generally exceeds the performance standard)
- 3 = average (generally meets the performance standard)
- 2 = below average (usually does not meet the performance standard)
- 1 = poor (rarely meets the performance standard)

If you do not have enough information to rate the Municipal Court Clerk on a particular characteristic, leave it blank. Blanks will not be included in the numerical scoring, but the number of blanks for that characteristic will be recorded.

Narrative comments. At the end of the form you will have an opportunity to respond to specific questions, and to provide any other comments you believe appropriate and pertinent to the Municipal Court Clerk's evaluation. Please write legibly or attach a printed Word document.

Please leave all pages of this evaluation form attached. Initial each page, including any printed sheets you attached. Sign and date the cover page. All evaluations submitted prior to the deadline will be included in the summary prepared for Council discussion.

PERFORMANCE CATEGORY SCORING

Initials ____

T. HAD	VIDUAL CHARACTERISTICS
	Diligent and thorough in the discharge of duties, "self-starter"
	Exercises good judgment
	Displays enthusiasm, cooperation, and will to adapt
	Exhibits composure and attitude appropriate for the position
•	
	Displays enthusiasm, cooperation, and will to adapt

Page **2** of **6**

INDUMDUAL CHARACTERICTICS

2. PR	OFESSIONAL SKILLS AND STATUS
	$_$ Maintains knowledge of current developments affecting the practice of local government
	management
	_ Demonstrates a capacity for innovation and creativity
	_ Anticipates and analyzes problems to develop effective approaches for solving them
	_ Willing to try new ideas proposed by governing body members and/or staff
	_ Sets a professional example by handling affairs of the public office in a fair and impartial
	manner
3. RE	LATIONS WITH ELECTED MEMBERS OF THE GOVERNING BODY
	_ Carries out directives of the body as a whole as opposed to those of any one member or minority group
	Sets Judicial Committee meeting agendas that reflect the guidance of the governing body and avoids unnecessary involvement in administrative actions
	_ Disseminates complete and accurate information equally to all members in a timely manner
	Responds well to requests, advice, and constructive criticism
	Provides informative reports to Council including useful recommendations
4. M	UNICIPAL COURT FUNCTIONS
	Supports the actions of the governing body after a decision has been reached, both
	inside and outside the organization
	_ Helps the Council address future needs and develop adequate plans to address long term
	trends
	_ Maintains court records effectively and appropriately and manages trial documentation
	successfully
	_ Works successfully with lawyers, judges, jurors, witnesses, and defendants.
	Provides customer service as needed

5. REPORTING	
Provides regular information and reports to the governing body concerning matter importance to the local government, using the City Charter as a guide	s of
Responds in a timely manner to requests from the governing body for special repo	rts
Takes the initiative to provide information, advice, and recommendations to the	
governing body on matters that are non-routine and not administrative in nature	
Reports produced are accurate, comprehensive, concise and written to their intend	hah
audience	aeu
Produces and handles reports in a way to convey the message that affairs of the	
organization are open to public scrutiny	
6. FISCAL MANAGEMENT	
Makes the best possible use of available funds, conscious of the need to operate the	ıe
local government efficiently and effectively	
Prepares a budget and budgetary recommendations for Municipal Court in an intel	ligent
and accessible format	•
Ensures actions and decisions reflect an appropriate level of responsibility for finan	icial
planning and accountability	
Appropriately monitors and manages fiscal activities of the department	
7. STAFFING & SUPERVISION	
Manages staff effectively	
Encourages teamwork, innovation, and effective problem-solving among staff men	nbers
Instills confidence and promotes initiative in subordinates through supportive rath	
than restrictive controls for their programs while still monitoring operations at the	staff
level	
Sustains or improves staff performance by evaluating the performance of staff men	mbers
at least annually, setting goals and objectives for them, periodically assessing their	
progress, and providing appropriate feedback	
Promotes training and development opportunities at all levels of the organization	

NARRATIVE EVALUATION

What would you identify as the Municipal Court Clerk's strengths, expressed in terms of the principal results achieved during the rating period?
What performance areas would you identify as most critical for improvement?
What suggestions or assistance can you offer the Municipal Court Clerk to improve performance?

What other comments do you have for the Municipal Court Clerk (for example, about priorities, expectations, goals, or specific objectives for the next year)?		

City Clerk Performance Evaluation

City of Austin

Evaluation period:	to
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Council Member's Name	
Each Council Momber should complete	e this evaluation form, sign it in the space below,
Each Council Member should complete	e this evaluation form, sign it in the space below,
and return it to the Director of the Huma	nan Resources Department. The deadline for
submitting this performance evaluation	n is five business days prior to the City Clerk's
posted evaluation. Evaluations will be s	summarized by Human Resources staff and
provided to the Mayor and Council Mer	mbers for discussion during Executive Session or
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Council Member's Signature	
Date Submitted	

This evaluation form includes two parts: A quantitative score sheet, covering multiple categories of performance criteria; and a narrative comments section. A summary of the score sheet results and all narrative comments will be distributed to all Council Members in executive session, and will be used as a basis for Council discussion of the City Clerk's performance.

Score sheet. Each of the categories contains multiple statements that describe a behavior standard in that category. For each statement, rate the City Clerk's performance along the following scale.

- 5 = excellent (almost always exceeds the performance standard)
- 4 = above average (generally exceeds the performance standard)
- 3 = average (generally meets the performance standard)
- 2 = below average (usually does not meet the performance standard)
- 1 = poor (rarely meets the performance standard)

If you do not have enough information to rate the City Clerk on a particular characteristic, leave it blank. Blanks will not be included in the numerical scoring, but the number of blanks for that characteristic will be recorded.

Narrative comments. At the end of the form you will have an opportunity to respond to specific questions, and to provide any other comments you believe appropriate and pertinent to the City Clerk's evaluation. Please write legibly or attach a printed Word document.

Please leave all pages of this evaluation form attached. Initial each page, including any printed sheets you attached. Sign and date the cover page. All evaluations submitted prior to the deadline will be included in the summary prepared for Council discussion.

PERFORMANCE CATEGORY SCORING

1. INC	DIVIDUAL CHARACTERISTICS
	Diligent and thorough in the discharge of duties, "self-starter"
	Exercises good judgment
	Displays enthusiasm, cooperation, and will to adapt
	_ Exhibits composure and attitude appropriate for the position
	Page 2 of 6 Initials

2. PROFESSIONAL SKILL	S AND STATUS
Maintains knowl	edge of current developments affecting the practice of local government
management	
	capacity for innovation and creativity
Anticipates and a	nalyzes problems to develop effective approaches for solving them
Willing to try nev	v ideas proposed by governing body members and/or staff
Sets a profession	al example by handling affairs of the public office in a fair and impartial
manner	
3. CITY CLERK FUNCTIO	NS .
Supports the act	ions of the governing body after a decision has been reached, both
inside and outsid	e the organization
Helps the Counc	l address future needs and develop adequate plans to address long term
trends	
Attends all regul	ar and special meetings of City Council and successfully provides
accurate official	minutes of the proceedings.
Manages munici	pal elections, and exhibits knowledge of principles and practices of
municipal code a	nd pertinent election law.
Ability to meet a	nd serve the public with tact and creditability.
4. REPORTING & RECO	RDS
Provides regular	information and reports to the governing body concerning matters of
	e local government, using the City Charter as a guide
	nely manner to requests from the governing body for special reports
· · · · · · · · · · · · · · · · · · ·	ve to provide information, advice, and recommendations to the
governing body	on matters that are non-routine and not administrative in nature
	ges records and indexing of records for public use.
	ndles reports in a way to convey the message that affairs of the
organization are	open to public scrutiny

5. FISCAL MANAGEMENT
Makes the best possible use of available funds, conscious of the need to operate the
local government efficiently and effectively
Prepares a budget and budgetary recommendations in an intelligent and accessible
format
Ensures actions and decisions reflect an appropriate level of responsibility for financial
planning and accountability
Appropriately monitors and manages fiscal activities of the department
6. STAFFING & SUPERVISION
Manages staff effectively
Encourages teamwork, innovation, and effective problem-solving among staff members
Instills confidence and promotes initiative in subordinates through supportive rather
than restrictive controls for their programs while still monitoring operations at the staff level
Sustains or improves staff performance by evaluating the performance of staff members
at least annually, setting goals and objectives for them, periodically assessing their
progress, and providing appropriate feedback
Promotes training and development opportunities at all levels of the organization
NARRATIVE EVALUATION
What would you identify as the City Clerk's strengths, expressed in terms of the principal result
achieved during the rating period?

What performance areas would you identify as most critical for improvement?		
hat suggestions o	or assistance can you offer the City Clerk to improve performance?	
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ectations, goals, or specific objectives for the next year)?			
			
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