

INDEPENDENT EXPENDITURES NOT BY A CANDIDATE

This report is for persons (as defined at City Code, Section 2-2-2(17), which includes corporations) other than candidates or campaign committees who make independent expenditures exceeding \$500 in aggregate for the purpose of promoting the election or defeat of any candidate(s) or the passage or defeat of any ballot measure(s) in a City election.

Per City Code, Section 2-2-32(C), timely filing of this report is as follows:

1. If the expenditure is made before the 60th day before the date of the election, this form must be filed with the City Clerk no later than the fifth business day after the date of the expenditure.
2. If the expenditure is made on or after the 60th day before the date of the election and before the ninth day before the date of the election, this form must be filed with the City Clerk no later than the second business day after the date of the expenditure.
3. If the expenditure is made on or after the ninth day before the date of the election, this form must be filed with the City Clerk no later than 5 p.m. on the first business day after the date of the expenditure.

All independent expenditures during a City election must be reported electronically.

Name of candidate or ballot measure:

Name of the person or political committee making expenditures:

Address of the person or political committee making expenditures:

For each expenditure, provide the name and address of the person(s) to whom expenditures were made; the total amount, purpose, and date; and the candidate whose election or defeat the expenditure advocates or the ballot measure whose passage or defeat the expenditure advocates:

Name	Street Address	Amount	Purpose	Date	Candidate/Ballot Measure

STATE OF TEXAS
VERIFICATION

I swear or affirm upon penalty of perjury that each independent expenditure was made without prior consent, cooperation, strategic communication, consultation, or sharing of material information regarding the communication's content, intended audience, timing, or method of dissemination between an affected candidate, the candidate's campaign staff, the candidate's campaign committee, or an agent or employee of the candidate or the committee, and the person making the expenditure, or that person's agent or employee.

I further swear that the preceding Independent Expenditures Not by a Candidate filed herewith is in all things true and correct and fully shows all information required to be reported by me pursuant to City Code, Section 2-2-32 for the reporting period indicated.

Signature of Affiant

PERSONAL FUNDS - LOANS AND EXPENDITURES

This report is for a candidate or officeholder who loans personal funds to his or her campaign or makes expenditures from personal funds in support of his or her campaign. The amounts loaned or expended shall be reported as follows.

Beginning on the date an individual becomes a candidate in a City election and continuing until midnight on the tenth day before a City election, a candidate shall report the new loans or expenditures cumulating to \$25,000 or more within seven business days after the total reaches \$25,000. Additional loans or expenditures cumulating \$25,000 or more shall be reported within seven business days each time the total reaches \$25,000. [City Code, Section 2-2-27(A)(1)]

If the loans or expenditures cumulating to \$25,000 or more occur during the period beginning on midnight on the 10th day before an election and ending at midnight on the day before the election, the report shall be filed with the City Clerk within twenty-four hours after the total reaches \$25,000. Additional loans or expenditures totaling \$25,000 or more shall be reported within twenty-four hours each time the total reaches \$25,000. [City Code, Section 2-2-27(A)(2)]

Name of candidate/officeholder: _____

Reporting Period:

- ☐ First day of candidacy – Midnight on the 10th day prior to City election
- ☐ Midnight on the 10th day before City election – Midnight on the day before election

Enter the following information concerning loans of personal funds to the campaign:

Amount of loan	Date of loan

Enter the following information concerning the person or persons to whom expenditures were made from personal funds and the total amount, purpose and date of each expenditure:

Name	Street Address	Amount	Purpose	Date

STATE OF TEXAS
VERIFICATION

I swear that the preceding disclosure of loans and expenditures from personal funds of a candidate or officeholder filed herewith is in all things true and correct and fully shows all information required to be reported by me pursuant to City Code, Section 2-2-27 for the reporting period indicated.

Signature of Candidate/Officeholder

CAMPAIGN DEBT RECONCILIATION
(To be filed by officeholders only during an election year)
Period Covered: January 1, 20____ to December 31, 20____

Name of officeholder: _____

Campaign debt* existing as of the first day of the calendar year: _____

Campaign debt* existing as of the last day of the calendar year: _____

Enter the following information on all campaign debt existing as of December 31 of the reporting year
(Note: Campaign debts under \$50 may be reported as an aggregate under (c), below):

(a) For loans and other debt evidenced by a note, the name of the creditor, the principal amount owed, the interest rate, and the date of maturity:

Creditor	Principal amount owed	Interest rate	Date of maturity

(b) For all other campaign debts, enter the name of the creditor and the principal amount owed:

Creditor/Vendor	Principal amount owed

(c) Enter the total of campaign debts under \$50 if they are not itemized under (a) or (b) above.

** Campaign debt is the actual outstanding obligation of the candidate or candidate's committee as of a particular date, minus all funds held by the candidate or candidate's committee in cash or bank accounts on that date.*

BANK RECONCILIATION

A candidate, officeholder, or campaign committee filing a January 15 year-end contribution and expenditure report shall provide the following information for the previous calendar year.

Name of candidate, officeholder or campaign committee: _____

For each checking, savings or other financial institution account maintained during 20____, enter the following information indicated. For each additional institution, use a copy of this schedule.

The name of the financial institution: _____

Type of account: _____

The beginning balance: _____

The ending balance: _____

Enter the following information for checks issued on that account that have not cleared by December 31:

Date	Payee	Amount

Enter the following information for checks received as contributions and deposited but dishonored by the contributor's financial institution:

Date of receipt	Contributor	Amount

SCHEDULE ATX. 4 - attach to form C/OH (C&E)
Reference § 2-2-25, Austin City Code

Amount of interest or dividends earned: _____

All deposits and withdrawals not disclosed on a filed contribution and expenditure report:

Date of deposit or withdrawal	Amount of deposit	Amount of withdrawal

A listing of all checks received by December 31 but not deposited into any account (whether or not the checks have been “accepted” within the definition of the Texas Election Code):

Date of receipt	Contributor	Amount

BUNDLING REPORT

Name of candidate/officeholder: _____

1. For each person/bundler who has solicited and obtained campaign contributions on your behalf of \$200 or more per person from five (5) or more individuals during the reporting period, provide the following information. (This requirement does not apply to an individual who raises funds in total amount of \$5,000 or less for a candidate through a fundraising event held at the individual's residence.)

Name of Individual/Bundler	Address	Occupation	Employer	Total Amount Bundled

2. For each person identified above, enter the name and address of each individual contributor whose contribution was bundled by that individual. Attach additional sheets if necessary.

Name of Contributor	Address	Occupation	Employer	Contribution Amount	Bundler

3. Identify each person registered or required to be register under City Code, Chapter 4-8 (Regulation of Lobbyists) employed by, or compensated to lobby by: (1) any Bundler identified in Section 1 above, (2) a business association through which the Bundler does business, or (3) the Bundler's employer.

*Note: It is important to remember that contributions to you are from the **actual donor**, not from the individual who solicited the donations on your behalf. Therefore, on form C/OH you must identify the actual donor as the contributor.*

**STATE OF TEXAS
VERIFICATION**

I certify that the total amount bundled on my behalf in the campaign period by all partners, shareholders, principals, employees, and persons who conduct business through a business association that is subject to City Code, Section 2-2-22(E), when added together, does not exceed ten (10) times the contribution limit set by City Charter, Article III, Section 8(A)(1) for the entire business association.

Signature of Affiant

EXEMPTION STATEMENT

(To be used only when no electronic filing of a
Campaign Finance Report (C&E) will be done)

NAME OF CANDIDATE OR COMMITTEE:

(Last) (First) (Middle)

ADDRESS:

DATE OF FILING:

STATEMENT

I/we, _____ (Name of Candidate or Committee), have not raised
and do not intend to raise more than \$30,000 in contributions for the campaign period of
_____, 20__ through _____, 20__. Therefore, I/we
will not be filing our election contribution and expenditure reports (C&E) electronically.
If contributions raised exceed \$30,000, I/we will file subsequent Campaign Finance
Reports (C&E) electronically.

Signed by Candidate or Campaign Committee

Date

NOTE: The Code requires that if contributions exceed \$30,000, subsequent Campaign
Finance Reports (C&E) must be filed electronically.

Comment [TC1]: Delete form because City Code
now only permits electronic filing of campaign
finance forms.

SPECIAL PRE-ELECTION REPORT

This report must be filed:

1. Each time a political committee accepts contributions that total more than \$2,500 during the period beginning the 9th day before the date of an election and ending at 5 p.m. on the day before the date of an election, **or** makes expenditures that total more than \$1,000 during the period beginning the 9th day before the date of an election and ending at 5 p.m. on the day before the date of an election; **OR**
2. Each time a candidate accepts contributions that total more than \$10,000 during the period beginning the 9th day before the date of an election and ending at 5 p.m. on the day before the date of an election.

This report must be received by the city clerk no later than 5 p.m. of the first business day after the date that: (1) a contribution that triggers the filing requirements is accepted, or (2) an expenditure that triggers the filing requirements is made. A candidate or political committee must file a special pre-election report each time the reporting thresholds are met. The filing of one special pre-election report does not excuse the filing of a subsequent report or reports for the same period if the reporting thresholds are met more than once.

Name of political committee: _____

OR

Name of candidate/officeholder: _____

1. For each person making a contribution, or contributions, that total more than \$200 during the period beginning the 9th day before the date of the election and ending at 5 p.m. on the day before the date of the election, provide the following information:

Name	Address	Amount of Contribution	Date Accepted	Description of any in-kind Contribution

2. **(For Political Committee Only)** For each expenditure over \$1,000 made during the period beginning the 9th day before the date of an election and ending at 5 p.m. on the day before the date of an election, provide the following information: (Attach additional pages if necessary)

Name of each person to whom expenditure was made	
Address	
Date	
Purpose of Expenditure	

Name of each person to whom expenditure was made	
Address	
Date	
Purpose of Expenditure	

Name of each person to whom expenditure was made	
Address	
Date	
Purpose of Expenditure	

Name of each person to whom expenditure was made	
Address	
Date	
Purpose of Expenditure	

Note: Any information reported on this special pre-election report must also be reported on the candidate's or political committee's next contribution and expenditure report.

SCHEDULE ATX. 7
Reference § 2-2-29, Austin City Code

STATE OF TEXAS
VERIFICATION

By signature below, I further certify that the preceding Special Pre-Election Report filed herewith is in all things true and correct and fully shows all information required to be reported by me pursuant to City Code, Section 2-2-29 for the reporting period indicated.

Signature of Affiant