



COUNCIL COMMITTEE REPORT AUDIT AND FINANCE COMMITTEE

Date: September 23, 2015

Agenda Item #: 9 and 10

Agenda Item 9: *Office of the City Auditor Fiscal Year 2016 Audit Plan, which identified projects the City Auditor intends to conduct for the fiscal year, along with their rationale and required resources (City Auditor)* [Agenda Item 10: *Proposed guidelines for Council special request projects from the Office of the City Auditor (City Auditor)*]

Vote

4-0-0 for both agenda items [Committee members are Mayor Pro Tem Tovo (chair), Council Member Troxclair (vice-chair), Council Member Pool, and Council Member Renteria]

Sponsors/Department:

Office of the City Auditor

Summary of Discussion

City Auditor Corrie Stokes presented item 10 first, addressing Committee concerns related to the equitable opportunity for all Council offices to request special request projects conducted by the Office of the City Auditor and the limited resources available to conduct the work. The City Auditor presented practices in peer cities and three alternative approaches to manage special request projects in Austin that include adopting guidelines for requests as well as alternate project approval options.

Committee members discussed the proposed special request guidelines requiring at least two requestors and considering whether an alternate source can conduct the project. Committee Members requested that the process be monitored and revisited by the Committee in six months or a year.

City Auditor Corrie Stokes presented item 9, the Office of the City Auditor Fiscal Year 2016 Audit Plan, which had not changed substantively from the draft plan presented to the Committee in August. The City Auditor requested that the Committee recommend the Audit Plan for City Council consideration.

Direction

The Office of the City Auditor Fiscal Year 2016 Audit Plan was forwarded to the City Council with a unanimous recommendation. Committee members also approved special request guidelines to be included in the Audit Plan agenda item backup materials and requested that the City Auditor monitor special request activity and report back to the Committee within a year.

Recommendation:

The motion to forward the Office of the City Auditor Fiscal Year 2016 Audit Plan to the City Council for consideration was approved on a unanimous vote with Mayor Pro Tem Tovo, Vice Chair Troxclair, and Council Members Pool and Renteria voting in favor.