

IFB – MBE/WBE COMPLIANCE PLAN

All sections (I-VII) must be completed and submitted prior to the due date in the solicitation documents

Section I — Project Identification and Goals

Project Name	Howard Lane Reservoir #1 Improvements
Solicitation Number	IFB 6100 CLMC557

Project Goals or Subgoals		
MBE		%
African American	2.43	%
Hispanic	4.33	%
Asian/Native American	1.31	%
WBE	1.81	%

Section II — Bidder Company Information

Name of Company	Blastco Texas, Inc.
Vendor Code	
Address	5514 East Hampton Drive
City, State Zip	Houston, Texas 77039
Phone	281-590-3200
Fax & E-Mail	281-590-3234, virginia.harpe@twarren.com
Name of Contact Person	Virginia Harp
Is your company registered on Vendor Connection?	Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, provide Vendor ID #: _____ If No, please note: All vendors and subcontractors/consultants must register with COA's Vendor Connect prior to award. See Link for registration information at https://www.ci.austin.tx.us/financeonline/finance/index.cfm
Is your company COA M/WBE certified?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, please indicate: MBE <input type="checkbox"/> WBE <input type="checkbox"/> MBE/WBE Joint Venture <input type="checkbox"/>

I certify that the information included in this *Compliance Plan* is true and complete to the best of my knowledge and belief. I further understand and agree that this *Compliance Plan* shall become a part of my contract with the City of Austin.

Jay Soper, Vice President/General Manager
Name and Title of Authorized Representative

Signature

Date

9-23-15

For SMBR Use Only:

I have reviewed this compliance plan and found that the Proposer **HAS** ☒ or **HAS NOT** ☐ complied as per the City Code Chapter 2-9A.

Reviewing Counselor

Date

10/1/15

I have reviewed this compliance plan and **Concur** ☒ or **Do Not Concur** ☐ with recommendation.

Director/Assistant Director

Date

10/8/15

Section III — Compliance Plan Summary

Note:

- Fill in all the blanks.
- For project participation numbers use an EXACT number. DO NOT USE: approximate, plus or minus (+ -), up to, to be determined (TBD), < >, or any other qualifying language.
- Compliance plans not complying with these requirements shall be rejected as non-responsive.

Total Base Bid (if applicable): \$ 1,959,723.00

Goals: Proposed Participation		
MBE	\$ <u>50,820.50</u>	<u>2.59</u> %
WBE	\$ <u>104,900.00</u>	<u>5.35</u> %
Non-Certified	\$ <u>65,450.00</u>	<u>3.34</u> %

SubGoals: Proposed Participation		
African American	\$ <u>20,820.50</u>	<u>1.06</u> %
Hispanic	\$ <u>0</u>	<u>0</u> %
Native/Asian American	\$ <u>30,000.00</u>	<u>1.53</u> %
WBE	\$ <u>104,900.00</u>	<u>5.35</u> %
Non-Certified	\$ <u>65,450.00</u>	<u>3.34</u> %

Bidder's own participation in base bid (less any amount subcontracted):

Amount: \$ 1,738,552.50 Percentage: 88.7 % 88.72 %

Are the stated goals or subgoals of the solicitation met? (If no, attach documentation of Good Faith Efforts)

Yes ☐

No ☒

For SMBR Use Only:

Verified Goals OR Subgoals:

MBE _____ % WBE _____ % Prime 88.72 % Non-Certified 3.34 %
 African-American 1.06 % Hispanic 0 % Native/Asian American 1.53 % WBE 5.35 %

Section IV — Disclosure of MBE and WBE Participation Duplicate As Needed

Note:

- Fill in all the blanks.
- Compliance plans not complying with these requirements shall be rejected as non-responsive.
- Fill in names of MBE/WBE Certified Firms as registered with Vendor Connection.
- Select either MBE or WBE for dually certified firms to indicate which certification will count towards the MBE or WBE goal.
- Contact SMBR to request an availability list of certified Firms for additional scopes of work that were not included on the original availability list.

Name of MBE/WBE Certified Firm	BenQuest LLC MB/MA		
City of Austin Certified	MBE <input checked="" type="checkbox"/>	WBE <input type="checkbox"/>	Gender/ Ethnicity: Asian American
Vendor Code	✓ BEN8308480		
Address/ City / State / Zip	2300 E. Ben White Blvd. Austin, TX 78741		
Contact Person & Phone #	Ebtessham Hog 512-565-1048		
Fax & Email Address	512-233-0843 bengwest@yahoo.com		
Commodity codes/describe services	✓ Fuel Supplier 40509		
Amount of Subcontract	\$ 30,000.00	% 1.53%	1.53%

Name of MBE/WBE Certified Firm	Avery's Lawn Care + Landscaping, LLC MDB/MB		
City of Austin Certified	MBE <input checked="" type="checkbox"/>	WBE <input type="checkbox"/>	Gender/ Ethnicity: African American
Vendor Code	✓ VC0000102723		
Address/ City / State / Zip	2002 Savannah Court Round Rock, TX 78681		
Contact Person & Phone #	Avery Williamson 512-845-6251		
Fax & Email Address	512-388-7303 averyslawncore@att.net		
Commodity codes/describe services	Site Work, Erosion Control 98814✓		
Amount of Subcontract	\$ 14,932.50	0.76 %	0.76%

Name of MBE/WBE Certified Firm	Merrigan Electric MWDB/FW		
City of Austin Certified	MBE <input type="checkbox"/>	WBE <input checked="" type="checkbox"/>	Gender/ Ethnicity: Woman
Vendor Code	✓ VS0000007788		
Address/ City / State / Zip	1115 Antelope Ridge Cedar Park, TX 78613		
Contact Person & Phone #	Christine Merrigan 512-944-3217		
Fax & Email Address	512-592-7988 cmerrigan01@yahoo.com		
Commodity codes/describe services	Electrician 91438✓		
Amount of Subcontract	\$ 104,900.00	5.35 %	5.35%

Name of MBE/WBE Certified Firm	✓ All Points Inspection Services, Inc. MWDB/FB		
City of Austin Certified	MBE <input checked="" type="checkbox"/>	WBE <input type="checkbox"/>	Gender/ Ethnicity: African American
Vendor Code	✓ ALL 8308356		
Address/ City / State / Zip	19139 FM 969 Webberville, TX 78653		
Contact Person & Phone #	Emma Mata 817-801-1250		
Fax & Email Address	817-801-1251 photo@apisgroup2.com		
Commodity codes/describe services	Photography Services 9157297✓		
Amount of Subcontract	\$ 5,888.00	0.30 %	0.30%

Section V — Disclosure of Non-Certified Subcontractors Duplicate As Needed

Note:

- Fill in all the blanks.
- Compliance plans not complying with these requirements shall be rejected as non-responsive.
- Fill in names of Non-Certified Subcontractors as registered with the City of Austin.

Are Goals Met? Yes ☐ No ☒ If no, state reason(s) below and attach documentation:

Subcontractor	Corpro Companies Inc	
Vendor Code	COR 7091020	
Address/ City / State / Zip	20644 Lake Industrial Court Conyers, GA 30013	
Contact Person & Phone #	Miles Steele 770-285-3652	
Fax & Email Address	770-761-5410 msteele@corpro.com	
Commodity codes/describe services	94130 Cathodic Protection Services	
Amount of Subcontract	\$ 65,450.00	3.34 %
Reason MBE/WBE not used	NO MBE/WBE available in matching commodity code	

Subcontractor		
Vendor Code		
Address/ City / State / Zip		
Contact Person & Phone #		
Fax & Email Address		
Commodity codes/describe services		
Amount of Subcontract	\$	%
Reason MBE/WBE not used		

Subcontractor		
Vendor Code		
Address/ City / State / Zip		
Contact Person & Phone #		
Fax & Email Address		
Commodity codes/describe services		
Amount of Subcontract	\$	%
Reason MBE/WBE not used		

Subcontractor		
Vendor Code		
Address/ City / State / Zip		
Contact Person & Phone #		
Fax & Email Address		
Commodity codes/describe services		
Amount of Subcontract	\$	%
Reason MBE/WBE not used		

Section VI — Disclosure of Second-Level Subcontractors

Duplicate as Needed

Note:

- Fill in all the blanks.
- Compliance plans not complying with these requirements shall be rejected as non-responsive.
- Fill in names of Second-Level Subcontractors as registered with the City of Austin.

Second-Level Subcontractor		
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Gender/Ethnicity:	
Vendor Code		
Address/ City / State / Zip		
Contact Person & Phone #		
Fax & Email Address		
Commodity codes/describe services		
Amount of Subcontract	\$	%
First-Level Subcontractor		

Second-Level Subcontractor		
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Gender/Ethnicity:	
Vendor Code		
Address/ City / State / Zip		
Contact Person & Phone #		
Fax & Email Address		
Commodity codes/describe services		
Amount of Subcontract	\$	%
First-Level Subcontractor		

Second-Level Subcontractor		
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Gender/Ethnicity:	
Vendor Code		
Address/ City / State / Zip		
Contact Person & Phone #		
Fax & Email Address		
Commodity codes/describe services		
Amount of Subcontract	\$	%
First-Level Subcontractor		

Second-Level Subcontractor		
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Gender/Ethnicity:	
Vendor Code		
Address/ City / State / Zip		
Contact Person & Phone #		
Fax & Email Address		
Commodity codes/describe services		
Amount of Subcontract	\$	%
First-Level Subcontractor		

Section VII Disclosure of Primary and Alternate Trucking Subcontractors

Duplicate as Needed

Note:

- Fill in all the blanks.
- Compliance plans not complying with these requirements shall be rejected as non-responsive.
- Fill in names of Primary and Alternate Trucking Subcontractors as registered with the City of Austin.

<i>Primary Trucking Subcontractor</i>	
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Ethnic/Gender Code:
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:
Commodity codes/describe services	

<i>Alternate Trucking Subcontractor</i>	
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Ethnic/Gender Code:
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:

<i>Alternate Trucking Subcontractor</i>	
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Ethnic/Gender Code:
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:

<i>Alternate Trucking Subcontractor</i>	
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Ethnic/Gender Code:
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:

<i>Alternate Trucking Subcontractor</i>	
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Ethnic/Gender Code:
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:

<i>Alternate Trucking Subcontractor</i>	
City of Austin Certified?	No <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Ethnic/Gender Code:
Vendor Code	
Address/ City / State / Zip	
Contact Person	Phone #:

Section VIII — MBE/WBE Compliance Plan Check List

The MBE/WBE *Compliance Plan* must be completed and submitted by the time specified in the solicitation documents. If the goals or subgoals were not achieved, Good Faith Efforts documentation must be submitted with the MBE/WBE *Compliance Plan*. All questions in Section VII *must* be completed and submitted with the *Compliance Plan* if goals or subgoals are not met.

1. Were written notices sent to all MBE/WBEs from the Significant Local Business Presence (SLBP) availability list at least seven (7) business days prior to the submission of this *Compliance Plan*? Yes ☒ No ☐
2. Were two separate methods used to contact all MBE/WBEs from the SLBP availability list at least seven (7) business days prior to the submission of this *Compliance Plan*? Please list the two methods used to contact MBE/WBEs. (i.e. fax, email, mail, and/or phone)
List Methods: Email and Phone Yes ☒ No ☐
3. Were steps taken to follow up with interested MBE/WBEs? Yes ☒ No ☐
4. Were advertisements placed with a local publication? (i.e. newspaper, minority or women organizations, or electronic/social media)? If no, please attach. Yes ☒ No ☐
5. Were written notices sent to Minority or Women organizations? If no, please attach. Yes ☒ No ☐
6. Were additional elements of work identified to achieve the goals or subgoals?
If yes, please explain: Fuel Suppliers were solicited for Yes ☒ No ☐
7. Was SMBR contacted for assistance? this project Yes ☒ No ☐

If yes, complete following:

Contact Person: Harold Tolbert
Date of Contact: 8/25/15
Summary of Request: Clarification of contract requirements

8. Were Minority or Women organizations contacted for assistance? Yes ☒ No ☐

If yes, complete following:

Organization(s): US Hispanic Contractors Association of Austin
Date of Contact: 9/21/15
Summary of Request: TO distribute solicitations to members

9. Is the following documentation attached to support good faith effort requirements to achieve goals or subgoals? (*Documentation is not limited to this list.*)
- | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------|
| Copy of written solicitation sent to MBE/WBEs in SLBP area | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| Two separate methods of notices sent to MBE/WBEs in SLBP area (fax transmittals, emails, and/or phone log). | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| Copy of advertisements | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| Copy of notices sent to Minority and Women organizations | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| Documentation that demonstrates efforts made to reach agreements with the MBE/WBEs who responded to Bidder's written notice? (i.e. copy of bids/proposals, spreadsheet breakdown of MBE/WBEs considered follow-up emails/phone logs and/or correspondence between Bidder and interested MBE/WBEs) | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

LETTER TO POTENTIAL SUBCONTRACTORS

Blastco Texas, Inc. is soliciting Minority- and Women-Owned Business Enterprise participation for the following City of Austin project. Solicitation documents are available at our office or at One Texas Center, 505 Barton Springs Road, 10th Floor, Suite 1045.

Name of Project:

Howard Lane Reservoir #1 Improvements

Project/Solicitation Number:

IFB 6100 CLM6557

Location of Pre-bid Conference (if any)

625 E. 10th St.

Austin, TX 78701

Response Due Date and Time:

9/17/15 10:00AM

This Project Includes the Following Scopes of Service:

- | | |
|----------------------------------------------------------------|----------------------------------------------------------------------------------|
| <input type="checkbox"/> Asbestos Abatement | <input type="checkbox"/> HVAC |
| <input type="checkbox"/> Carpentry | <input type="checkbox"/> Insulation |
| <input type="checkbox"/> Carpeting | <input type="checkbox"/> Lab and Field Testing Services |
| <input type="checkbox"/> Concrete | <input checked="" type="checkbox"/> Landscaping |
| <input type="checkbox"/> Demolition Services | <input type="checkbox"/> Masonry |
| <input type="checkbox"/> Doors and Frames | <input type="checkbox"/> Millwork |
| <input type="checkbox"/> Drilling | <input type="checkbox"/> Painting |
| <input type="checkbox"/> Drywall | <input type="checkbox"/> Paving and Resurfacing |
| <input checked="" type="checkbox"/> Electrical | <input type="checkbox"/> Plumbing |
| <input type="checkbox"/> Excavation Services | <input type="checkbox"/> Roofing |
| <input type="checkbox"/> Fabricated Steel | <input type="checkbox"/> Stone |
| <input type="checkbox"/> Flooring | <input type="checkbox"/> Tile |
| <input type="checkbox"/> Glazing Services | <input type="checkbox"/> Weather and Waterproofing |
| <input type="checkbox"/> Hardware | <input checked="" type="checkbox"/> Welding |
| <input type="checkbox"/> Heavy Construction Equipment | <input type="checkbox"/> Windows |
| <input checked="" type="checkbox"/> Other <u>Fuel Supplier</u> | <input checked="" type="checkbox"/> Other <u>Disposal / Trash, Toilet Rental</u> |

Contact our office for detailed information on the scopes of services to be subcontracted and the relevant terms and conditions of the contract.

Contact:

Virginia Harp
(Name)

at 281-590-3200
(Telephone)

or 281-590-3234
(Fax)

Virginia.harp@TFWarren.com
(Email)

All Responses MUST be received by: 4 PM on Sept. 16, 2015