CORRECTION/AMENDMENT AFFIDAVIT FOR CANDIDATE/OFFICEHOLDER

FORM COR-C/OH

1	Filer ID (Ethics Comm	nission Filers)		2 Total pages filed:	OFFICE USE ONLY
				7	OFFICE USE ONLY
3	CANDIDATE / OFFICEHOLDER NAME	MS/MRS/MR FIR Steph NICKNAME LAS Adl	en	MI 	Date Received All
4	ORIGINAL REPORT TYPE	January 15 July 15 30th day before election 8th day before election	Ex 151 ap	noff Other (specify) ceeded \$500 limit h day after treasurer pointment (officeholder only) al report	AUSTIN CHART CONTRACTOR Amount Chart # Amount Chart RECEIPT # Amount
5	ORIGINAL PERIOD COVERED	Month Day Year 7 / 1 / 2015	5 ^{TI}	Month Day Y	Date Processed 2
6	EXPLANATION OF CO	RRECTION			
	Correct de	ebt disclosures and re	con	ciliation due to accounting	mistake.
7	AFFIDAVIT	repo	rt is	or affirm, under penalty of per true and correct. DNLY if applicable:	iury, that this corrected
	My No	mad sent Other report that or ar was EGINA PRADO plany ID # 10092213 a Februsey 26, 2019 ∨ E	e in the rr r rt n the ffirm ma	good faith and without an in information contained in the eports: I swear, or affirm, to tot later than the 14th busines report as originally filed is inac	hat I am filing this corrected is day after the date I learned curate or incomplete. I swear, in the report as originally filed eor Officeholder
	Swom to and subscribe			,	5 April day of April
_	Signature of officer adr		<u>Re</u> rinte	GINA Prado I hame of officer administering oath	Title of officer administering cath
	Re	-		rt Of The Campaign Financ ort And Explain Correction	-

CANDIDATE / OFFICEHOLDER CAMPAIGN FINANCE REPORT

FORM C/OH COVER SHEET PG 1

Т	he C/OH Instruction	Guide explains how	to complete this form.	1. Filer I (Ethics Con	D nmission Filers)	2. Total pages	filed:
3	CANDIDATE/	MS/MRS/MR	FIRST	•	Мі	OFFICE U	SE ONLY
	OFFICEHOLDER		Steve			Date Received	
	NAME	NICKNAME	LAST		SUFFIX		
			Adler				•
4	CANDIDATE/	ADDRESS /PO BOX:	APT/SUITE # CITY	STAT	E: ZIP CODE	-	
4	OFFICEHOLDER ADDRESS	301 W 2nd Street	Austin	тх	78701	Date Hand-delivered o	or Date Postmarked
	Change of Address						
5	CANDIDATE/	AREA CODE P	HONE NUMBER	EXTENS	SION	Receipt #	Amount \$
	OFFICEHOLDER PHONE	(512) 978	3-2100		1		
6	CAMPAIGN	MS/MRS/MR	FIRST		MI	Date Processed	
	TREASURER		Eugene			Date Imaged	-
	NAME	NICKNAME	LAST		SUFFIX	Date imaged	
			Sepulveda				
7	CAMPAIGN	STREET ADDRESS:	APT/SUITE#	ÇITY	STATE:	ZIP CODE	· - ··
	TREASURER ADDRESS (Residence or Business)	3114 Wheeler Street		Austin	TX	78705	
 8	CAMPAIGN	AREA CODE	PHONE NUMBER	EXTENS	SION		
	TREASURER PHONE	(512) 970)-9400				
9	REPORT TYPE	✓ January 15	30th day before election	n [Runoff	15th day afte	r campaign tresurer
		July 15	8th day before election	=	Exceeded \$500 limit	Final report ((officeholder only) Attach- COH-FR)
10	PERIOD	Month Day	Year		Month	Day Year	
	COVERED	07/01/2015	THI	ROUGH		12/31/2015	
11	ELECTION	ELECTION DATE Month Day	ELECTION Year Primar		Runoff [Other	
		monar Say	✓ Genera		Special	ounc.	
12	OFFICE	OFFICE HELD (if any)			13 OFFICE SOUGH	-IT (if known)	- 1 - 1 · ·
12.		Other Office: May	/or	:	NoneMayor		
	GO TO PAGE 2						

CANDIDATE / OFFICEHOLDER CAMPAIGN FINANCE REPORT

FORM C/OH COVER SHEET PG 2

Steve Adler		15 Filer ID (Ethics Commission Filers)
SUPPORT THE CANDIDATE / OF	FICEHOLDER. THESE EXPENDITURES MAY HAVE BEEN MADE WITHO	OUT THE CANDIDATE'S OR OFFICEHOLDER'S
COMMITTEE TYPE	COMMITTEE NAME	_
GENERAL		
SPECIFIC	COMMITTEE ADDRESS	
	COMMITTEE CAMPAIGN TREASURER NAME	
	COMMITTEE CAMPAIGN TREASURER ADDRESS	
		\$0.00
		\$0.00
3 TOTAL POLITICAL	EXPENDITURES OF \$50 OR LESS, UNLESS ITEMIZED	\$0.00
4 TOTAL POLITICAL	EXPENDITURES	\$280.00
		\$0.00
		\$449,200.00
	I swear, or affirm, under penalty of pe is true and correct and includes all in	ariury, that the accompanying report folymation registred to be reported by
REGINA PRADO My Notary ID # 10092213 Expires February 26, 2019 STAMP / SEAL ABOVE	Signature of Candi	idate or Officeholder
Λ		this the
upril 20 16	to certify which, witness my hand and seal of office. \mathcal{D}	1 4
ninistering oath Pri	Tegina Yrado inted name of officer administering oath Title	10 TU Good of officer administering oath
	THIS BOX IS FOR NOTICE OF PISUPPORT THE CANDIDATE / OI KNOWLEDGE OR CONSENT. C/OF SUCH EXPENDITURES. COMMITTEE TYPE GENERAL SPECIFIC 1 TOTAL POLITICAL YOTAL POLITICAL (OTHER THAN PLE 3 TOTAL POLITICAL 4 TOTAL POLITICAL 5 TOTAL POLITICAL 5 TOTAL POLITICAL 6 TOTAL POLITICAL 6 TOTAL POLITICAL 7 TOTAL POLITICAL 8 TOTAL POLITICAL 9 TOTAL POLITICAL 1 TOTAL POLITICAL 1 TOTAL POLITICAL 1 TOTAL POLITICAL 2 TOTAL POLITICAL 3 TOTAL POLITICAL 4 TOTAL POLITICAL 5 TOTAL POLITICAL 6 TOTAL PRINCIPAL LAST DAY OF THE REGINA PRADO My Notary ID # 10092213 Expires February 28, 2019 ETAMP / SEAL ABOVE TIDE OF THE SEAL ABOVE TOTAL POLITICAL 1 TOTAL PRINCIPAL 20 LU 1 TOTAL POLITICAL 1 TOTAL POLITICAL 1 TOTAL POLITICAL 2 TOTAL POLITICAL 3 TOTAL POLITICAL 4 TOTAL POLITICAL 5 TOTAL POLITICAL 6 TOTAL PRINCIPAL 1 TOTAL PRINCIPAL 1 TOTAL PRINCIPAL 1 TOTAL POLITICAL 2 TOTAL POLITICAL 2 TOTAL POLITICAL 3 TOTAL POLITICAL 4 TOTAL POLITICAL 5 TOTAL POLITICAL 6 TOTAL PRINCIPAL 1 TOTAL POLITICAL 2 TOTAL POLITICAL 2 TOTAL POLITICAL 3 TOTAL POLITICAL 4 TOTAL POLITICAL 5 TOTAL POLITICAL 5 TOTAL POLITICAL 6 TOTAL PRINCIPAL 1 TOTAL POLITICAL 1 TOTAL POLITICAL 2 TOTAL POLITICAL 2 TOTAL POLITICAL 3 TOTAL POLITICAL 4 TOTAL POLITICAL 5 TOTAL POLITICAL 5 TOTAL POLITICAL 6 TOTAL POLITICAL 2 TOTAL POLITICAL 2 TOTAL POLITICAL 2 TOTAL POLITICAL 3 TOTAL POLITICAL 4 TOTAL POLITICAL 5 TOTAL POLITICAL 5 TOTAL POLITICAL 6 TOTAL POLITICAL 1 TOTAL POLITICAL 2 TOTAL POLITICAL 2 TOTAL POLITICAL 3 TOTAL POLITICAL 4 TOTAL POLITICAL 5 TOTAL POLITICAL 5 TOTAL POLITICAL 6 TOTAL POLITICAL 1 TOTAL POLITICAL 1 TOTAL POLITICAL 1 TOTAL POLITICAL 2 TOTAL POLITICAL 2 TOTAL POLITICAL 2 TOTAL POLITICAL 3 TOTAL POLITICAL 4 TOTAL POLITICAL 5 TOTAL POLITICAL 5 TOTAL POLITICAL 5 TOTAL POLITICAL 1 TOTAL POLITICAL 1 TOTAL POLITICAL 1 TOTAL POLITICAL 1 TOTAL POLITI	THIS BOX IS FOR NOTICE OF POLITICAL CONTRIBUTIONS ACCEPTED OR POLITICAL EXPENDITURES SUPPORT THE CANDIDATE / OFFICEHOLDER. THESE EXPENDITURES ARY MAY BEEN MADE WITHKNOWLEDGE OR COMMENT. CANDIDATES AND OFFICEHOLDERS ARE REQUIRED TO REPORT THIS OF SUCH EXPENDITURES. COMMITTEE TYPE GENERAL GENERAL COMMITTEE ADDRESS COMMITTEE CAMPAIGN TREASURER NAME COMMITTEE CAMPAIGN TREASURER NAME COMMITTEE CAMPAIGN TREASURER NAME COMMITTEE CAMPAIGN TREASURER NAME TOTAL POLITICAL CONTRIBUTIONS OF \$50 OR LESS (OTHER THAN PLEDGES, LOANS, OR GUARANTEES OF LOANS) TOTAL POLITICAL CONTRIBUTIONS (OTHER THAN PLEDGES, LOANS, OR GUARANTEES OF LOANS) TOTAL POLITICAL EXPENDITURES OF \$50 OR LESS, UNLESS ITEMIZED TOTAL POLITICAL EXPENDITURES TOTAL POLITICAL EXPENDITURES TOTAL POLITICAL CONTRIBUTIONS MAINTAINED AS OF THE LAST DAY OF REPORTING PERIOD I Swear, or affirm, under penalty of ge is true and correct and includes all in me under Title 15, Election Code No Notary 10 # 10092213 Expires February 20, 2019 HAMPLYSEAL ABOVE TO THE REPORTING WHICH, witness my hand and seal of office. REGINA PRADO No Notary 10 # 10092213 Expires February 20, 2019 HAMPLYSEAL ABOVE THE REPORTING PRIOD ARROWLED TO THE REPORTING PERIOD REGINA PRADO No Notary 10 # 10092213 Expires February 20, 2019 HAMPLYSEAL ABOVE THE CAMPAIGN FIRST SIGNATURE OF COMMENT OF ALL OUT STANDING LOANS AS OF THE LAST DAY OF THE REPORTING PERIOD ARROWLED THE REPORTING PERIOD ARROWLED THE REPORTING PERIOD ARROWLED THE REPORTING PERIOD ARROWLED THE REPORTING PERIOD TO THE REPORT OF THE RE

SUBTOTALS - COH

FORM C/OH COVER SHEET PG 3

19.	FILER NAME 20. FILER ID Steve Adler) (Ethics Commission Filers)
21.	SCHEDULE SUBTOTALS NAME OF SCHEDULE	SUBTOTALS AMOUNT
1.	SCHEDULE A1: MONETARY POLITICAL CONTRIBUTIONS	\$0.00
2.	SCHEDULE A2: NON-MONETARY (IN-KIND) POLITICAL CONTRIBUTIONS	\$0.00
3.	SCHEDULE B: PLEDGED CONTRIBUTIONS	\$0.00
4.	SCHEDULE E: LOANS	\$0.00
5.	SCHEDULE F1: POLITICAL EXPENDITURES MADE FROM POLITICAL CONTRIBUTIONS	\$280.00
6.	SCHEDULE F2: UNPAID INCURRED OBLIGATIONS	50.00
7.	SCHEDULE F3: PURCHASE OF INVESTMENTS MADE FROM POLITICAL CONTRIBUTIONS	\$0.00
8.	SCHEDULE F4: EXPENDITURES MADE BY CREDIT CARD	\$0.00
9.	SCHEDULE G: POLITICAL EXPENDITURES MADE FROM PERSONAL FUNDS	\$0.00
10.	SCHEDULE H: PAYMENT MADE FROM POLITICAL CONTRIBUTIONS TO A BUSINESS OF C/OH	\$0.00
11.	SCHEDULE I: NON-POLITICAL EXPENDITURES MADE FROM POLITICAL CONTRIBUTIONS	\$0.00
12.	SCHEDULE K: INTEREST, CREDITS, GAINS, REFUNDS AND CONTRIBUTIONS RETURNED TO FILER	\$0.00

POLITICAL EXPENDITURES FROM POLITICAL CONTRIBUTIONS

SCHEDULE F1

	EXPENDIT	TURE CATEGORIES	FOR BOX	8(a)	
Advertising Expense Accounting/Banking Consulting Expense Contributions/Donations Made By Candidate/Officeholder/Political Committee	Event Expense Fees Food/Beverage Expense y Gift/Awards/Memorials Expense Legal Services	Office Overhead/Renta Polling Expense Printing Expense Salaries/Wages/Contra		Solicitation/Fundraising Expense Transportation Equipment & Related Expense Travel In District Travel Out of District	
Credit Card Payment	The Instruction Guid	de explains how to co	mplete this	Other (enter a category not listed above) form.)
Total pages Schedule F1:	2. FILER NAME	<u> </u>	3. Fi	ler ID (Ethics Commission Filers)	
	Steve Adler				
4 Date	5 Payee name				
07/31/2015	Frost Bank				
6 Amount	7 Payee address;	City;	State:	Zip Code	
\$5.00	2425 Exposition Blvd Austin, 7	TX 78703-2270			
8	(a) Category (See categories listed	at the top of this schedule)	(b) Descri	ption Theck if travel outside of Texas, complete Schedule	т
PURPOSE OF EXPENDITURE	Accounting/Banking		==	Check if Austin, TX, officeholder living expense	, ,
9 Complete ONLY if direct expenditure to benefit C/OH	Candidate/Officeholder name		Office sough	ht Office held	<u> </u>
4 Date	5 Payee name				
08/31/2015	Frost Bank				
6 Amount \$5.00	7 Payee address;	City;	State:	Zip Code	
\$3.00	2425 Exposition Blvd Austin, 7	TX 78703-2270			
8 PURPOSE	(a) Category (See categories listed	at the top of this schedule)	(b) Descri	ption heck if travel outside of Texas, complete Schedule	e T
OF	Accounting/Banking			Check if Austin, TX, officeholder living expense	
EXPENDITURE					
9 Complete ONLY if direct expenditure to benefit C/OH	Candidate/Officeholder name		Office sough	nt Office held	
4 Date	5 Payee name				
09/30/2015	Frost Bank				
6 Amount	7 Payee address;	City;	State:	Zip Code	
\$5.00	2425 Exposition Blvd Austin, 7	TX 78703-2270			
8	(a) Category (See categories listed	at the top of this schedule)	(b) Descri	ption heck if travel outside of Texas, complete Schedule	
PURPOSE OF	Accounting/Banking		===	check if Austin, TX, officeholder living expense	
EXPENDITURE					
9 Complete ONLY if direct expenditure to benefit C/OH	Candidate/Officeholder name		Office sough	nt Office held	
<u> </u>				·	
	ATTACH ADDITIONAL COF	PIES OF THIS SCH	EDULE AS	SNEEDED	

POLITICAL EXPENDITURES FROM POLITICAL CONTRIBUTIONS

SCHEDULE F1

	EXPENDIT	URE CATEGORIES	FOR BOX	B(a)
Advertising Expense Accounting/Banking Consulting Expense Contributions/Donations Made B Candidate/Officeholder/Political Committee Credit Card Payment	Event Expense Fees Food/Beverage Expense y Gift/Awards/Memorials Expense Legal Services The Instruction Guid	Office Overhead/Rental Polling Expense Printing Expense Salaries/Wages/Contral de explains how to co	ct Labor	Solicitation/Fundraising Expense Transportation Equipment & Related Expense Travel In District Travel Out of District Other (enter a category not listed above) form.
Total pages Schedule F1:	2. FILER NAME Steve Adler		3. Fi	ler ID (Ethics Commission Filers)
4 Date 10/30/2015	5 Payee name Frost Bank			
6 Amount \$5.00	7 Payee address; 2425 Exposition Blvd Austin, T	City;	State:	Zip Code
8 PURPOSE OF EXPENDITURE	(a) Category (See categories listed Accounting/Banking	at the top of this schedule)	_ =	ption Theck if travel outside of Texas, complete Schedule T Check if Austin, TX, officeholder living expense
9 Complete ONLY if direct expenditure to benefit C/OH	Candidate/Officeholder name		Office sough	nt Office held
4 Date	5 Payee name		·	· · · · · · · · · · · · · · · · · · ·
11/30/2015	Frost Bank			
6 Amount \$5.00	7 Payee address; 2425 Exposition Blvd Austin, T	City; 'X 78703-2270	State:	Zip Code
8 PURPOSE OF EXPENDITURE	(a) Category (See categories listed Accounting/Banking	at the top of this schedule)	. =	ption heck if travel outside of Texas, complete Schedule T theck if Austin, TX, officeholder living expense
9 Complete ONLY if direct expenditure to benefit C/OH	Candidate/Officeholder name	<u> </u>	Office sough	t Office held
4 Date	5 Payee name			
12/30/2015	Frost Bank		_	
6 Amount \$5.00	7 Payee address; 2425 Exposition Blvd Austin, T	City; "X 78703-2270	State:	Zip Code
8 PURPOSE OF EXPENDITURE	(a) Category (See categories listed Accounting/Banking	at the top of this schedule)	==	ption heck if travel outside of Texas, complete Schedule T sheck if Austin, TX, officeholder living expense
9 Complete ONLY if direct expenditure to benefit C/OH	Candidate/Officeholder name		Office sough	t Office held
	ATTACH ADDITIONAL COP	PIES OF THIS SCH	EDULE AS	NEEDED

POLITICAL EXPENDITURES FROM POLITICAL CONTRIBUTIONS

SCHEDULE F1

	EXPENDI	TURE CATEGORIES	FOR BOX	8(a)
Advertising Expense Accounting/Banking Consulting Expense Contributions/Donations Made B Candidate/Officeholder/Political Committee Credit Card Payment	Legal Services	Office Overhead/Renta Polling Expense Printing Expense Salaries/Wages/Contra	ct Labor	Solicitation/Fundraising Expense Transportation Equipment & Related Expense Travel In District Travel Out of District Other (enter a category not listed above) form.
Total pages Schedule F1:	2. FILER NAME Steve Adler		3. Fi	ller ID (Ethics Commission Filers)
4 Date 07/01/2015	5 Payee name Thompson & Knight			
6 Amount \$250.00	7 Payee address; PO Box 660684 Dallas, TX 75	City; 266-0684	State:	Zip Code
PURPOSE OF EXPENDITURE	(a) Category (See categories listed Legal Services	at the top of this schedule)	_ =	iption Theck if travel outside of Texas, complete Schedule T Check if Austin, TX, officeholder living expense
9 Complete ONLY if direct expenditure to benefit C/OH	Candidate/Officeholder name		Office sough	ht Office held

ATTACH ADDITIONAL COPIES OF THIS SCHEDULE AS NEEDED

Name of officeholder: Stephen Adler

CAMPAIGN DEBT RECONCILIATION

(To be filed by officeholders only during an election year) Period Covered: January 1, 20 15 to December 31, 20 15

Otopiloti / taloi			
Campaign debt* existing as of the first da	y of the calendar year:	\$432,481.63	
Campaign debt* existing as of the last day	of the calendar year:	\$449,059.50	
Enter the following information on all ca year (Note: Campaign debts under \$50 ma			
(a) For loans and other debt evidenced owed, the interest rate, and the date of man		f the creditor, the	principal amount
Creditor	Principal amount ow	ed Interest rate	Date of maturity
None			
·			
(b) For all other campaign debts, enter the	e name of the creditor	· · · · · · · · · · · · · · · · · · ·	
Creditor/Vendor		Principal ame	ount owed
Stephen Adler		6449,200.00	
(c) Enter the total of campaign debts und None	er \$50 if they are not i	temized under (a)	or (b) above.

* Campaign debt is the actual outstanding obligation of the candidate or candidate's committee as of a particular date, minus all funds held by the candidate or candidate's committee in cash or bank accounts on that date.

BANK RECONCILIATION

A candidate, officeholder, or campaign committee filing a January 15 year-end contribution and expenditure report shall provide the following information for the previous calendar year.

Name of candidate, offic	eholder or campaign commi	ttee: Stephen Ad	ler
	gs or other financial institution dicated. For each additional		-
The name of the financia	I institution: Frost Bank	· · · · · · · · · · · · · · · · · · ·	
Type of account:C	necking		
The beginning balance:	\$40,112.48		
The ending balance:	\$140.50		
Enter the following infor 31:	mation for checks issued on t	hat account that have	e not cleared by December
Date	Payee		Amount
None			
by the contributor's finar	mation for checks received a cial institution:	s contributions and	deposited but dishonored
Date of receipt	Contribut	or	Amount
None None		·	
		,	
Office of the City Clerk, 20.3	5 R	evised by the Ethics Re	view Commission 03/26/2014

Page 1 of 2

Date of deposit or	withdrawal	Amount of deposit	Amoun	t of withdrawal
None				
		······································		
		· · · · · · · · · · · · · · · · · · ·		
N.				
		ecember 31 but not deposited thin the definition of the Tex		

EXEMPTION STATEMENT

(To be used only filing of a structured campaign finance data file is not required.)

NAME OF CANDIDATE OR COMMITTEE:

contents of each filed campaign finance report.

Adle	er S	tephen	
(Last)	. (First)	(Middle)
ADDI	RESS: 301 2nd	Street, Au	ustin, TX 78701
DATE	E OF FILING:	1/15/16	
			STATEMENT
I/we, _	Stephen Adler		(Name of Candidate or Committee),
have 1	not raised and de	not intend	d to raise more than \$10,000 in contributions for the
campa	nign period of _Ja	anuary 1	, 20 <u>15</u> through <u>December 31</u> , 20 <u>17</u> .
There	fore, I/we will no	ot provide	to the City Clerk a structured data file containing the

I/we acknowledge that if contributions raised do exceed \$10,000: (1) I/we shall provide a structured data file for each subsequent campaign finance report that is required to be filed with the City Clerk, and (2) I/we shall retroactively provide to the City Clerk a structured campaign finance data file for each campaign finance report that was due before contributions exceeded \$10,000.

I/we acknowledge that each candidate who signs a campaign contract (as authorized by Section 2-2-11 of City Code) must comply with the requirement to provide to the City Clerk a structured data file associated with each campaign finance report filed with the City Clerk, regardless of the dollar amount of contributions the candidate has raised or intends to raise. Therefore, I/we acknowledge that a candidate who signs a campaign contract is not eligible to file this form, ATX. 6. I/we acknowledge that filing ATX. 6 in

SCHEDULE ATX. 6 Reference 2-2-26, Austin City Code

error will not exempt such candidate from the requirement to file a structured data file containing the contents of each campaign finance report with the City Clerk.

Signed by Candidate or Campaign Committee

1/15/16	
Date	