

City Auditor's Integrity Unit Fiscal Year 2017 Activities

October 2016 - March 2017

May 2017

We investigate fraud, waste, and abuse by City officials, including employees, and City contractors

Where did allegations come from?

In the first half of the year, we experienced an increased portion of allegations coming through the hotline program, which includes a phone hotline and online reporting form.



73%

Hotline program



21%

Direct contact

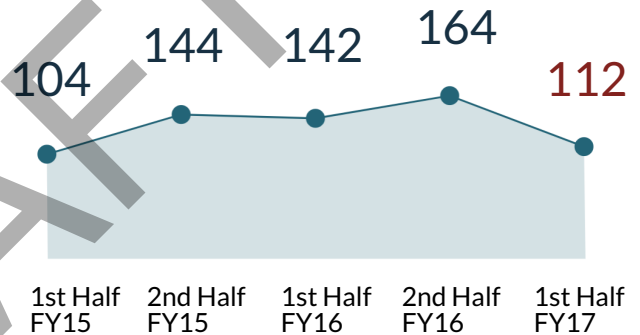


6%

Referral from depts or audits

How many allegations have we received this year?

This year, we received **112** new allegations. After a significant increase in FY16, the number of allegations decreased in the first half of FY17.



What types of allegations did we receive outside our jurisdiction?

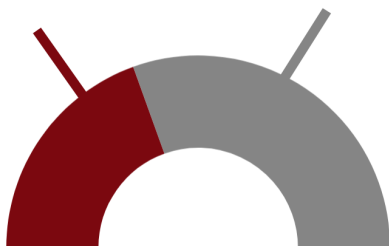
Many allegations were not in our jurisdiction and were referred to a more appropriate party.

33%

Our jurisdiction

67%

Outside our jurisdiction



46%



Personnel issues

46%



Operational issues/other

8%



Criminal issues

How many investigations did we open?

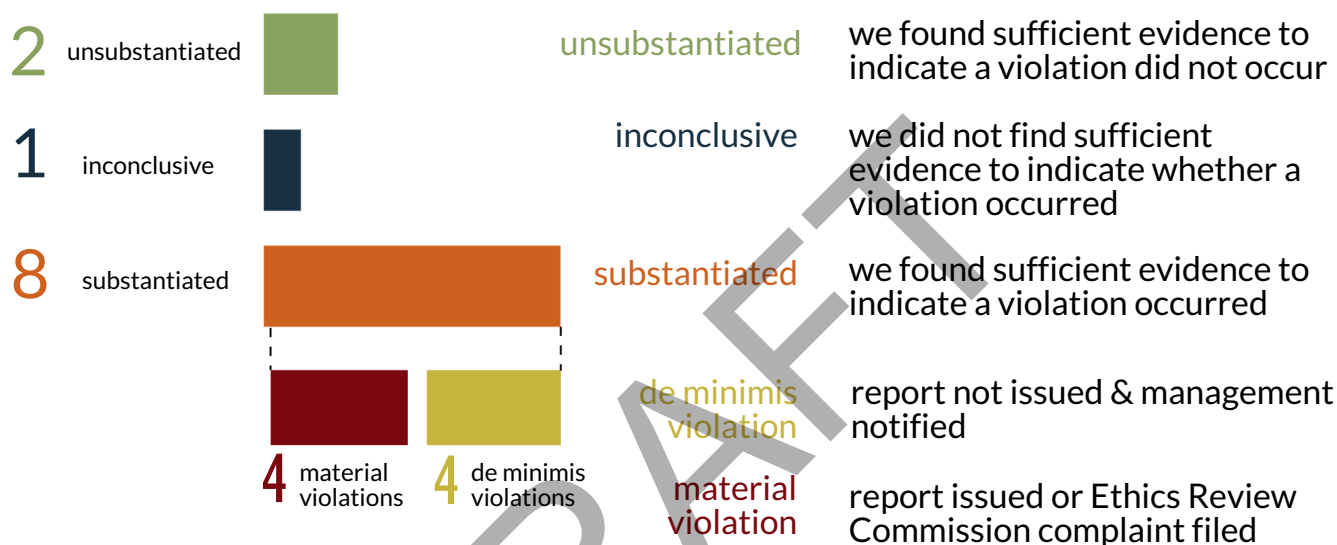
We conduct due diligence review for any allegation that appears to be in our jurisdiction to determine if there is merit to it. If so, we open an investigation. We opened 8 investigations this year. This is fewer than this time last year, but a larger portion have resulted in reports.



investigations opened

What were the results of completed investigations?

We completed 11 investigations in the first half of the fiscal year.



The Ethics Review Commission has jurisdiction over employees, such as executives, commissioners, and other employees not covered by Civil Service.

What did we report?

Department	Violation	Action
Fleet*	waste	disciplinary action
Austin Water*	misuse of resources, acceptance of favor, & waste	N/A, employee resigned
Austin Water*	acceptance of favor & misuse of resources	disciplinary action
Economic Development	failure to report	N/A, employee resigned
Economic Development	special privilege	pending review by the Ethics Review Commission

*Investigations completed in the prior fiscal year but report issued in the current fiscal year