

# Office of the City Auditor

## Proposed Audit Plan

### Fiscal Year 2019

#### New Audits

	Audit Topic	Council Strategic Outcome Area	Preliminary Objective(s)
1	Financing Tools for Economic Development	Economic Opportunity & Affordability	Are City tax increment financing and other economic development tools effectively achieving established goals?
2	Property Tax Assessments	Economic Opportunity & Affordability	Are property tax exemptions accurately assessed and applied by the applicable tax assessment districts?
3	Tenant-Based Rental Assistance	Economic Opportunity & Affordability	Is the tenant-based rental assistance program being administered effectively to help qualified renters?
4	Sidewalk Projects	Mobility	Are sidewalk projects selected and prioritized in an efficient and equitable manner?
5	Police Body Cameras	Safety	Are Austin Police officers using body cameras as intended and according to policy?
6	Impact of Changes to Community Policing	Safety	Have community policing changes, identified by City Management as implemented, been effective for the Austin Police Department and the community it serves?
7	Public Safety Dispatch	Safety	Are emergency service calls being dispatched in an effective and efficient way to meet the needs of the community?
8	Wildfire Preparedness	Safety	Is the City prepared to respond to wildfires?
9	Accessibility of Mental Health Services	Health & Environment	Does the City coordinate with other entities and service providers to ensure that adequate mental health services are available and accessible?
10	Environmental Sustainability	Health & Environment	How effective are the City's strategies to improve environmental sustainability?
11	Social Service Contracting Process	Government That Works for All	Does the City's process ensure that services are being provided and meeting the needs of the community?
12	Information Technology Security Management	Government That Works for All	How vulnerable are City systems to hacks, interruptions, or other intrusions?
13	Human Resources Risk Assessment & Project	Government That Works for All	What risks exist within the City's human resources governance structure as compared to industry practices? <i>[Separate high risk project to be identified from this work]</i>
14	Lobbyist Registration Compliance	Government That Works for All	Are lobbyists complying with City Code provisions? <i>[Required by City Code provisions]</i>
15	Ongoing Follow-up for Prior Recommendations	Government That Works for All	Are recommendations previously issued by the City Auditor being implemented by departments?

*The Office of the City Auditor's mission is to provide audit and investigative services that foster transparency, accountability, and continuous improvement in Austin city government.*

The Office of the City Auditor continuously collects information about risks facing the City from a variety of sources including the City Council, City management, and the public, as well as national and local events that could affect Austin. The City Auditor assesses this information to identify value added audit topics. These audit topics are prioritized based on available resources, timing, audit coverage, and other factors and then included in this Audit Plan.

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### Fiscal Year 2019

#### Carryover Audits - Started in Fiscal Year 2018 to be completed in Fiscal Year 2019



	Audit Topic	Council Strategic Outcome Area	Objective(s)
C-1	City Coordination in the Right-of-Way	Mobility	Is the City effectively coordinating in the right-of-way to minimize traffic disruptions and maximize traffic flow?
C-2	Permitting Process Improvements	Government That Works for All	Have actions taken to improve the permitting process as a result of prior audit and consultant reports resulted in improved services?
C-3	Utilization of City-Owned Properties: Leases	Government That Works for All	Is the City effectively utilizing City-owned properties to achieve City objectives? Are City leases cost-effective?
C-4	Homeless Assistance IV: Outcomes	Economic Opportunity & Affordability	What are the outcomes of City efforts to address the needs of the homeless population?
C-5	Traffic Safety: Design	Safety	Is traffic design effectively promoting safety on City streets?

#### Priority Replacement Audits - To be considered if any Fiscal Year 2019 audits cannot be completed as planned

	Audit Topic	Council Strategic Outcome Area	Preliminary Objective(s)
R-1	Affordable Housing: Tenant Selection	Economic Opportunity & Affordability	Does the City monitor to ensure developers of affordable units select qualified tenants in an equitable manner?
R-2	Traffic Signalization	Mobility	Is the City using the full capacity of its traffic signalization system to improve mobility and have issues noted in prior audits been addressed?
R-3	Accessibility of Parks and Trails	Health & Environment	Are City parks and trails accessible for all parts of the community?
R-4	City Ethics Program	Government That Works for All	How does the City know if its employee ethics program is working? <i>[Work to be conducted by an outside consultant]</i>
R-5	Communication and Effectiveness of City Boards & Commissions	Government That Works for All	Do City Boards and Commissions effectively serve Council and the community?
R-6	Fleet: Purchase and Replacement	Government That Works for All	How are fleet decisions made while balancing departmental needs, cost, and carbon reduction goals?

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