City Auditor's Integrity Unit Fiscal Year 2019 Activities October 2018 - March 2019

April 2019

We investigate fraud, waste, and abuse by City officials, including employees, and City contractors

Outputs and Outcomes

We completed 5 investigations this fiscal year and issued 4 reports.

| Department | Violation | Action |
|--------------|---------------------|-----------------------|
| Public Works | misuse of resources | violation determined: |

Human Resources Department

Austin Energy

City Management

misuse, abuse, soliciting and accepting favor

misuse of resources

non-authorized use of resources for non-profit letter of reprimand

complaint dismissed

disciplinary action

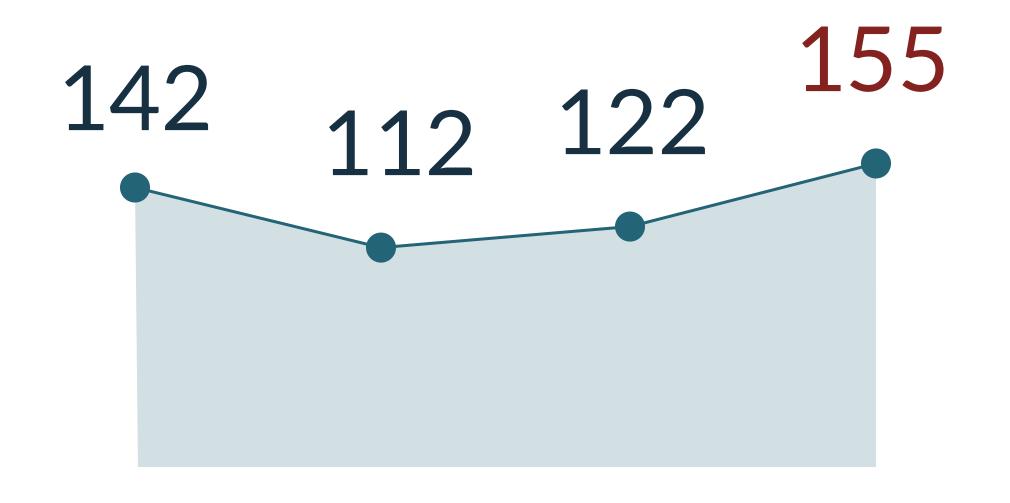
action taken to document authorization

Open Investigations

We currently have 9 open investigations. The alleged violations include:

| Misuse | Abuse | Theft | Waste | Failure to Enforce Code |
|--------|-------|-------|-------|-------------------------|
| 3 | 2 | 2 | 1 | 1 |
| | | | | |

Allegations Received



FY17

1st half

FY18

1st half

FY19

1st half

Allegation Source

71% of reporters were anonymous

Majority of our reporters took advantage of the anonymous reporting options, which supports best practice recommendations for including anonymous reporting options.

Less than 1% of reporters were contractors

Our Office is planning to work with contractors on City's ethics rules and reporting methods.

Office of the City Auditor

FY16

1st half