

Draft Calendar Year 2024 Audit Plan

After accounting for six carryover projects from 2023, the Office of the City Auditor (OCA) can add five highly complex Projects, Four Medium Complexity Projects, & Two Low Complexity Projects to the CY24 Plan. The first table below details the carryover projects from CY23. The second presents our proposed new projects for CY24. OCA will also have the capacity to follow up on prior recommendations and address special requests from council.

Carryover to CY24

Complexity	Audit Topic	Preliminary Objective
High	City Handling of Sensitive Data	Does the City handle sensitive residential data in an effective and secure manner?
High	Citywide Retention	Does the City's retention and compensation policies and procedures reduce turnover and compare to peer city practices?
High	Tenant Support	Does the City's tenant support process effectively and efficiently support resident efforts to avoid eviction?
Low	Employee Reimbursement Process	Does the City's employee expense reimbursement process operate effectively and efficiently?
Low	Scooter Enforcement	Does the City's regulatory framework and enforcement efforts compare to peer city practices?
Low	Criminal Background Investigation Process (External Consultant)	Does the City's criminal background investigation process operate effectively and efficiently?

Proposed New Projects for CY24

Complexity	Audit Topic	Preliminary Objective
High	APD License Plate Reader Pilot Program	OCA directed to conduct this audit per Council Resolution 20220915-056
High	Identity/Access Management	Are the City processes for provisioning and de-provisioning users across various platforms operating effectively and efficiently?
High	Public Safety Recruitment & Training Series	Are the City's public safety departments effectively, efficiently, and equitably recruiting, hiring, and training new sworn staff?
High	Workforce Management Series: Harassment, Discrimination, & Retaliation	Is the City effectively and equitably addressing Harassment, Discrimination, & Retaliation? The audit scope will include preventative and reactive processes.
High	Aviation Risk Assessment	Identify the top two or three risks AUS is facing
Med	Workforce Management Series: Succession Planning*	Is the City effectively conducting succession planning to address projected turnover in line with industry best practices?
Med	Extreme Heat Preparedness	Is the City adequately planning for heat related risks?
Med	Recycling & Composting Education	Is the City's recycling and composting education efforts operating effectively?
Med	Water Main Maintenance & Replacement	Is the City identifying and addressing issues to minimize leaks and service interruptions caused by water mains?
Low	Homelessness Outcomes Follow Up	What are the outcomes of the City's efforts to prevent homelessness and assist people experiencing homelessness?
Low	2017 Capital Project Follow Up	Follow up on recommendations issues in the 2017 Capital Project Delivery Process Audit

**If Succession Planning is addressed adequately in the ongoing Retention Audit, OCA will focus on Compensation Practices instead.*